



## NONNEGATIVE SOLUTIONS TO SOME SINGULAR SEMILINEAR ELLIPTIC PROBLEMS

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**Abstract.** We prove the existence of a nonnegative weak solution  $0 \neq u \in H_0^1(\Omega)$  to the singular semilinear elliptic problem  $-\Delta u = \chi_{\{u>0\}} a u^{-\alpha} + f(\cdot, u)$  in  $\Omega$ ,  $u = 0$  on  $\partial\Omega$ , where  $\Omega$  is a bounded domain in  $\mathbb{R}^n$ ,  $0 < \alpha < 3$ ,  $a \in L^\infty(\Omega)$ ,  $0 \neq a \geq 0$ , and  $f: \Omega \times [0, \infty) \rightarrow \mathbb{R}$  is a Carathéodory function which satisfies some suitable hypothesis. We also obtain results about the problem with a parameter  $-\Delta u = \chi_{\{u>0\}} a u^{-\alpha} + \lambda f(\cdot, u)$  in  $\Omega$ ,  $u \geq 0$  in  $\Omega$ ,  $u = 0$  on  $\partial\Omega$ .

**Keywords.** Singular elliptic problem; Variational technique; Nonnegative solution; Bifurcation problem.

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### 1. Introduction

Let us consider the singular semilinear elliptic problem:

$$(1.1) \quad \begin{cases} -\Delta u = \chi_{\{u>0\}} a u^{-\alpha} + f(x, u) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \\ u \geq 0 & \text{in } \Omega, \quad u \neq 0 & \text{in } \Omega. \end{cases}$$

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and the related problem with a parameter  $\lambda$ :

$$(1.2) \quad \begin{cases} -\Delta u = \chi_{\{u>0\}} a u^{-\alpha} + \lambda f(x, u) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \\ u \geq 0 & \text{in } \Omega, \quad u \not\equiv 0 & \text{in } \Omega. \end{cases}$$

where  $\Omega$  is a bounded domain in  $\mathbb{R}^n$  with  $C^{1,1}$  boundary,  $0 < \alpha < 3$ ,  $\lambda \in \mathbb{R}$ ,  $a, f$  are functions defined on  $\Omega$  and  $\Omega \times [0, \infty)$  respectively; and where  $\chi_{\{u>0\}} a u^{-\alpha}$  stands for the function defined by  $\chi_{\{u>0\}} a u^{-\alpha}(x) := a(x) u(x)^{-\alpha}$  if  $u(x) \neq 0$ , and  $\chi_{\{u>0\}} a u^{-\alpha}(x) := 0$  if  $u(x) = 0$ .

These problems have received considerable interest in the literature and appear in applications to chemical catalysts process, non-Newtonian fluids, and in models for the temperature of electrical conductors (see e.g., [6], [4], [12], [15] and the references therein). The existence of positive solutions (i.e. such that  $u(x) > 0$  for all  $x \in \Omega$ ) to problem (1.1) was proved, for the case  $f = 0$ , and under various assumptions on  $a$ , in [15], [12], [7], [21], [10] and [3]. Existence theorems for positive classical solutions to problem (1.2) were obtained by Shi and Yao in [24], when  $\Omega$  and  $a$  are regular enough, with  $a$  non necessarily nonnegative,  $f(x, s) = s^p$  and  $0 < \alpha, p < 1$ . The free boundary singular elliptic bifurcation problem  $-\Delta u = \chi_{\{u>0\}} (-u^{-\alpha} + \lambda f(\cdot, u))$  in  $\Omega$ ,  $u = 0$  on  $\partial\Omega$ ,  $u \geq 0$  in  $\Omega$ ,  $u \not\equiv 0$  (that is:  $|\{x \in \Omega : u(x) > 0\}| > 0$ ) was studied by Dávila and Montenegro in [9], under the assumptions that  $0 < \alpha < 1$ ,  $\lambda > 0$ ,  $f : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  is a nonnegative Carathéodory function,  $f(x, s)$  is nondecreasing and concave in  $s$ , and  $\lim_{s \rightarrow \infty} f(x, s) = 0$  uniformly on  $x \in \Omega$ .

Bifurcation problems of the form  $-\Delta u = g(x, u) + f(x, \lambda u)$  in  $\Omega$ ,  $u = 0$  on  $\partial\Omega$ ,  $u > 0$  in  $\Omega$ , were studied by Coclite and Palmieri [5]. It was proved there that, if  $g(x, u) = a u^{-\alpha}$ ,  $a \in C^1(\overline{\Omega})$ ,  $a > 0$  in  $\overline{\Omega}$ , and  $f \in C^1(\overline{\Omega} \times [0, \infty))$ , then there exists  $\lambda^* > 0$  such that, for any  $\lambda \in [0, \lambda^*)$ , (1.2) has a positive classical solution  $u \in C^2(\Omega) \cap C(\overline{\Omega})$ . Furthermore; for any  $\lambda \geq 0$ , a positive classical solution exists if, in addition,  $\overline{\lim}_{s \rightarrow \infty} \frac{f(x, s)}{s} \leq 0$  uniformly on  $x \in \overline{\Omega}$  (see [5], Theorem 1).

Multi-parameter singular bifurcation problems of the form  $-\Delta u = g(u) + \lambda |\nabla u|^p + \mu f(\cdot, u)$  in  $\Omega$ ,  $u = 0$  on  $\partial\Omega$ ,  $u > 0$  in  $\Omega$  were studied, by Ghergu and Rădulescu in [18]. Dupaigne, Ghergu and Rădulescu [14] obtained existence and nonexistence theorems for Lane–Emden–Fowler equations with convection and singular potential. Rădulescu [23] stated existence, nonexistence, and uniqueness theorems for blow-up boundary solutions of logistic equations, and for Lane-Emden-Fowler equations, with singular nonlinearities and

subquadratic convection term. Existence and nonexistence results for positive solutions to the inequality  $Lu \geq K(x)u^p$  on the punctured ball  $\Omega = B_r(0) \setminus \{0\}$  were obtained by Ghergu, Liskevich and Sobol [16] for second order linear elliptic operators  $L$  without zero order term, and  $K \in L_{loc}^\infty(\Omega)$  such that  $0 < \text{ess\,inf} K$ . A Liouville comparison principle for entire weak solutions of quasilinear singular parabolic second-order partial differential inequalities was obtained in [20] by Kurta and existence and uniqueness results were obtained by Bougherara and Giacomoni [1] for mild solutions to singular initial value parabolic problems involving the  $p$ -Laplacian. Singularly perturbed elliptic problems on an annulus whose solutions concentrate in a circle were studied by Manna and Srikanth [22].

The following problem

$$(1.3) \quad \begin{cases} -\Delta u = ag(u) + \lambda f(u) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \\ u > 0 & \text{in } \Omega. \end{cases}$$

was considered by Cîrstea, Ghergu and Rădulescu [8] under the following assumptions:  $\Omega$  is a regular enough bounded domain in  $\mathbb{R}^n$ ,  $0 \leq a \in C^\beta(\overline{\Omega})$ ,  $0 < f \in C^{0,\beta}[0, \infty)$  for some  $\beta \in (0, 1)$ ,  $f$  is nondecreasing on  $[0, \infty)$ ,  $f(s)/s$  is nonincreasing for  $s > 0$ ,  $g$  is nonincreasing on  $(0, \infty)$ ,  $\lim_{s \rightarrow 0^+} g(s) = +\infty$ ; and there exist  $\alpha \in (0, 1)$ ,  $\sigma_0 > 0$ , and  $c > 0$ , such that  $g(s) \leq cs^{-\alpha}$  for  $s \in (0, \sigma_0)$ . Under these hypothesis, and defining  $\mu := \lim_{s \rightarrow \infty} f(s)/s$ ,  $\lambda^* := \lambda_1/\mu$  (where  $\lambda_1$  stands for the first Dirichlet eigenvalue of  $-\Delta$  in  $\Omega$ ), and  $\mathcal{E} := \{u \in C^2(\Omega) \cap C^{1,1-\alpha}(\overline{\Omega}) : \Delta u \in L^1(\Omega)\}$ , the following results were proved:

([8], Theorem 1): If  $\mu = 0$  and  $\min_{\overline{\Omega}} a > 0$  (respectively  $\min_{\overline{\Omega}} a = 0$ ), then, for all  $\lambda \in \mathbb{R}$  (resp.  $\lambda \geq 0$ ), problem (1.3) has a unique solution  $u_\lambda \in \mathcal{E}$ , the map  $\lambda \rightarrow u_\lambda$  is strictly increasing, and each  $u_\lambda$  satisfies  $c_1 d_\Omega \leq u_\lambda \leq c_2 d_\Omega$  for some positive constants  $c_1$  and  $c_2$ , where  $d_\Omega := \text{dist}(\cdot, \partial\Omega)$

([8], Theorem 2): If  $\mu > 0$  and  $\lambda \geq \lambda^*$ , then (1.3) has no solutions in  $\mathcal{E}$ . Furthermore, if  $\mu > 0$  and  $\min_{\overline{\Omega}} a > 0$  (respectively  $\min_{\overline{\Omega}} a = 0$ ), then (1.3) has a unique solution  $u_\lambda \in \mathcal{E}$  for any  $\lambda < \lambda^*$  (resp.  $0 \leq \lambda < \lambda^*$ ) and, again, the map  $\lambda \rightarrow u_\lambda$  is strictly increasing; and each  $u_\lambda$  satisfies  $c_1 d_\Omega \leq u_\lambda \leq c_2 d_\Omega$  for some positive constants  $c_1$  and  $c_2$ . Moreover,  $\lim_{\lambda \rightarrow (\lambda^*)^-} u_\lambda = +\infty$  uniformly on compact subsets of  $\Omega$ .

Finally, let us mention that in [19], the authors proved the existence of nonnegative solutions for a restricted version of problem (1.1), namely when *H1*) holds,  $0 < \alpha < 1$ , and  $f(\cdot, u) = -bu^p$ , with  $0 < p < \frac{n+2}{n-2}$ , and  $0 \leq b \in L^r(\Omega)$  for suitable values of  $r$ .

Additional references, and a comprehensive treatment of the subject, can be found in [17], [23], see also [11].

The aim of this work is to prove, under suitable hypothesis on  $a$  and  $f$ , existence results for nonnegative weak solutions to problems (1.1) and (1.2). By a weak solution we mean a solution in the sense of the following.

**Definition 1.1.** We say that  $u : \Omega \rightarrow \mathbb{R}$  is a weak solution of problem (1.1) if  $u \in H_0^1(\Omega)$ ,  $u \geq 0$ ,  $\chi_{\{u>0\}} au^{-\alpha} \varphi \in L^1(\Omega)$  and

$$(1.4) \quad \int_{\Omega} \langle \nabla u, \nabla \varphi \rangle = \int_{\Omega} \chi_{\{u>0\}} au^{-\alpha} \varphi + \int_{\Omega} f(x, u) \varphi.$$

for all  $\varphi$  in  $H_0^1(\Omega) \cap L^\infty(\Omega)$ .

For  $b \in L^\infty(\Omega)$  such that  $b^+ \not\equiv 0$ , we will write  $\lambda_1(b)$  for the positive principal eigenvalue for  $-\Delta$  on  $\Omega$ , with homogeneous Dirichlet boundary condition and weight function  $b$ . With this notation, our first result reads as follows.

**Theorem 1.2.** *Let  $\alpha \in (0, 3)$  and assume the following conditions:*

*H1)  $a \in L^\infty(\Omega)$ ,  $a \geq 0$ , and  $a \not\equiv 0$ ,*

*H2)  $f : \Omega \times [0, \infty) \rightarrow \mathbb{R}$  is a Carathéodory function on  $\Omega \times [0, \infty)$ , i.e.,  $f(\cdot, s)$  is measurable for any  $s \in [0, \infty)$ , and  $f(x, \cdot)$  is continuous a.e.  $x \in \Omega$ ,*

*H3)  $\sup_{0 \leq s \leq M} |f(\cdot, s)| \in L^1(\Omega)$  for any  $M > 0$ ,*

*H4) One of the two following conditions holds:*

*H4')  $\sup_{s>0} \frac{f(\cdot, s)}{s} \leq b$  for some  $b \in L^\infty(\Omega)$  such that  $b^+ \not\equiv 0$ , and  $\lambda_1(b) > m$  for some integer  $m \geq \max\{2, 1 + \alpha\}$ ,*

*H4'')  $f \in L^\infty(\Omega \times (0, \sigma))$  for all  $\sigma > 0$ , and  $\overline{\lim}_{s \rightarrow \infty} \frac{f(\cdot, s)}{s} \leq 0$  uniformly on  $\Omega$ , i.e., for any  $\varepsilon > 0$  there exists  $s_0 > 0$  such that  $\sup_{s \geq s_0} \frac{f(\cdot, s)}{s} \leq \varepsilon$ , a.e. in  $\Omega$ ,*

*H5)  $f(\cdot, 0) \geq 0$ .*

*Under these hypothesis, (1.1) has a weak solution  $u$  (in the sense of Definition 1.1), that belongs to  $H_0^1(\Omega) \cap L^\infty(\Omega)$ ; and satisfies:*

*i)  $u > 0$  a.e. in  $\{a > 0\}$ . In particular,  $\chi_{\{u>0\}} au^{-\alpha} \not\equiv 0$  and, if  $a > 0$  a.e. in  $\Omega$ , then  $u > 0$  a.e. in  $\Omega$ .*

ii) If  $f(\cdot, 0) > 0$  a.e. in  $\Omega$ , then  $u > 0$  a.e. in  $\Omega$ .

Note that, if  $f \geq 0$  in  $\Omega \times [0, \infty)$  then, by the maximum principle, the solutions to problem (1.1) that satisfy  $\chi_{\{u>0\}} au^{-\alpha} \not\equiv 0$  are positive a.e. in  $\Omega$ . Example 3.7 in [19] shows that conditions like the ones stated above are needed in order to ensure the existence of a strictly positive weak solution.

Concerning problem (1.2) our results are the following.

**Theorem 1.3.** *Let  $\alpha \in (0, 3)$ , and assume that H1)-H3), H4'') and H5) hold. Then, for all  $\lambda \geq 0$ , (1.2) has a weak solution  $u_\lambda$  (in the sense of Definition 1.1); this solution  $u_\lambda$  is in  $H_0^1(\Omega) \cap L^\infty(\Omega)$ , satisfies  $\chi_{\{u>0\}} au_\lambda^{-\alpha} \not\equiv 0$  and  $u_\lambda > 0$  a.e. in  $\{a > 0\}$ . These results remain valid for any negative  $\lambda$  if, in addition,  $f(\cdot, 0) = 0$  and  $\lim_{s \rightarrow \infty} \frac{f(\cdot, s)}{s} = 0$  uniformly on  $\Omega$ .*

Moreover, for  $\lambda \geq 0$ , if  $f(\cdot, 0) > 0$  a.e. in  $\Omega$ , then  $u_\lambda > 0$  a.e. in  $\Omega$ .

**Theorem 1.4.** *Let  $\alpha \in (0, 3)$ ; assume H1)-H3), H5), and that one of the two following conditions holds:*

$$H6) \quad \text{esssup}_{(x,s) \in \Omega \times (0, \infty)} \frac{f(x,s)}{s} < \infty,$$

$$H7) \quad f \in L^\infty(\Omega \times (0, \sigma)) \text{ for all } \sigma > 0.$$

Then there exists  $\lambda^* > 0$  such that, for any nonnegative  $\lambda < \lambda^*$ , (1.2) has a weak solution (in the sense of Definition 1.1)  $u_\lambda \in H_0^1(\Omega) \cap L^\infty(\Omega)$  that satisfies  $\chi_{\{u_\lambda>0\}} au_\lambda^{-\alpha} \not\equiv 0$  and  $u_\lambda > 0$  a.e. in  $\{a > 0\}$ .

Theorems 1.3 and 1.4, can be viewed as partial generalizations of the already mentioned existence results contained in [8]. Let us briefly compare those results with ours: On the one hand, our assumptions on  $a$  and  $f$  are weaker than those imposed in [8]: we allow that  $|\{x \in \Omega : a(x) = 0\}| > 0$ ; and we do not require  $f > 0$ . Notice also that we allow  $f$  to depend on  $(x, u)$ ; and that we do not require monotonicity, either on  $f$ , or on  $s \rightarrow f(s)/s$ . Our range of values of  $\alpha$  is wider than the range allowed in [8]. On the other hand, we cannot guarantee that the solutions that we found are strictly positive in  $\Omega$ . Moreover, we obtain neither the uniqueness, nor the monotonicity obtained in [8]. Finally, our  $\lambda^*$  does not have the optimality property of its counterpart in ([8], Theorem 2).

Our approach to study problem (1.1) is variational, and adapted from the one followed in [19]. Note that problem (1.1) has additional challenges with respect to the one considered in [19]: not only the nonlinearity is more general, but a further obstacle is posed by the fact that,

when  $\alpha \geq 1$ , the domain of the corresponding energy functional  $J$  is not an open subset of  $H_0^1(\Omega)$ . In order to circumvent this obstacle we will consider, for any  $M > 0$ , the functional  $J$  on the set  $D_M^\alpha$  formed by the nonnegative functions  $u \in H_0^1(\Omega)$  that are bounded by  $M$ , and such that  $J(u)$  is well defined and finite. In Section 2 we prove that, on  $D_M^\alpha$ ,  $J$  has a nonnegative minimizer  $u_M \not\equiv 0$ ; and that  $\|u_M\|_\infty \leq \mathcal{M}$ , with  $\mathcal{M}$  constant and independent of  $M$ . From these facts, and some auxiliary lemmas, Theorem 1.2 is proved in Section 3 by showing that, for  $M$  large enough,  $u_M$  is a weak solution of (1.1) (in spite of the possible lack of differentiability of  $J$  at  $u_M$ ). Finally, at the end of Section 3, we use Theorem 1.2 to obtain Theorems 1.3 and 1.4.

## 2. Preliminaries

Let us recall that  $\lambda \in \mathbb{R}$  is called a principal eigenvalue for  $-\Delta$  in  $\Omega$ , with homogeneous Dirichlet boundary condition and weight function  $b$ , if the problem  $-\Delta u = \lambda b u$  in  $\Omega$ ,  $u = 0$  on  $\partial\Omega$  has a solution  $\phi$  such that  $\phi > 0$  in  $\Omega$ .

**Remark 2.1.** The following facts are well known (see e.g., [13]). If  $\Omega$  is a  $C^{1,1}$  domain in  $\mathbb{R}^n$ ,  $b \in L^\infty(\Omega)$  and  $b^+ \not\equiv 0$  then:

i) There exists a unique positive principal eigenvalue  $\lambda_1(b)$ , its eigenspace is one dimensional, and is included in  $C^1(\overline{\Omega})$ . Moreover, for each positive eigenfunction  $\phi$ , there are positive constants  $c_1, c_2$  such that  $c_1 d_\Omega \leq \phi \leq c_2 d_\Omega$  in  $\Omega$ . In particular, for  $\gamma \in \mathbb{R}$ ,  $\phi^\gamma$  is integrable if, and only if,  $\gamma > -1$ .

ii) If  $0 < \lambda < \lambda_1(b)$  and  $h \in L^\infty(\Omega)$ , the problem  $-\Delta u = \lambda b u + h$  in  $\Omega$ ,  $u = 0$  on  $\partial\Omega$ , has a unique solution  $u \in \cap_{1 \leq p < \infty} W^{2,p}(\Omega)$ , and the corresponding solution operator  $(-\Delta - \lambda b)^{-1} : L^\infty(\Omega) \rightarrow C_0^1(\overline{\Omega})$  is bounded and strongly positive, i.e., if  $h \in L^\infty(\Omega)$  and  $0 \leq h \not\equiv 0$  then  $u$  belongs to the interior of the positive cone of  $C_0^1(\overline{\Omega})$  where  $C_0^1(\overline{\Omega}) := \{v \in C^1(\overline{\Omega}) : v = 0 \text{ on } \partial\Omega\}$ .

iii) If  $b^* \in L^\infty(\Omega)$  and  $b \leq b^*$  then  $\lambda_1(b^*) \leq \lambda_1(b)$ .

For  $M > 0$  and  $0 < \alpha < 3$ , let  $D_M^\alpha \subset H_0^1(\Omega)$  be defined by

$$\begin{aligned} D_M^\alpha &:= \{u \in H_0^1(\Omega) : 0 \leq u \leq M\} \text{ if } 0 < \alpha < 1, \\ D_M^\alpha &:= \left\{ u \in H_0^1(\Omega) : 0 \leq u \leq M \text{ and } \int_{\{a>0\}} a |\ln u| < \infty \right\} \text{ if } \alpha = 1, \\ D_M^\alpha &:= \left\{ u \in H_0^1(\Omega) : 0 \leq u \leq M \text{ and } \int_{\{a>0\}} a u^{1-\alpha} < \infty \right\} \text{ if } 1 < \alpha < 3. \end{aligned}$$

**Lemma 2.2.** *Assume H1). Then  $D_M^\alpha \neq \emptyset$  for any  $M > 0$  and  $\alpha \in (0, 3)$ .*

**Proof.** The lemma is immediate when  $0 < \alpha < 1$ . For  $1 < \alpha < 3$ , we can proceed as follows: Let  $\phi$  be a positive principal eigenfunction for  $-\Delta$  in  $\Omega$  with homogeneous Dirichlet boundary condition, with weight function 1, and normalized such that  $\|\phi\|_\infty = M^{\frac{1+\alpha}{2}}$ . Note that  $\left| \nabla \left( \phi^{\frac{2}{1+\alpha}} \right) \right|^2 = \left( \frac{2}{1+\alpha} \right)^2 \phi^{\frac{2(1-\alpha)}{1+\alpha}} |\nabla \phi|^2$  and that, since  $\alpha < 3$ , we have  $\frac{2(1-\alpha)}{1+\alpha} > -1$ . Thus  $\left| \nabla \left( \phi^{\frac{2}{1+\alpha}} \right) \right| \in L^2(\Omega)$ . Clearly  $\phi^{\frac{2}{1+\alpha}} \in L^2(\Omega)$  and  $a\phi^{\frac{2(1-\alpha)}{1+\alpha}} \in L^1(\Omega)$ , and then  $\phi^{\frac{2}{1+\alpha}} \in D_M^\alpha$ .

Consider now the case  $\alpha = 1$ . Let  $\delta \in (0, 1)$  and let  $\beta = 1 + \delta$ . Thus  $1 < \beta < 3$ . Since  $\lim_{s \rightarrow 0^+} s^\delta |\ln(s)| = 0$ , and  $|\ln(s)| < s$  for  $s > 1$ , there is a positive constant  $c$  such that  $|\ln(s)| \leq c(s^{-\delta} + s)$  for any  $s > 0$ . Let  $\phi$  be a principal eigenfunction as above, but normalized now by  $\|\phi\|_\infty = M^{\frac{1+\beta}{2}}$ . As before, we have  $\phi^{\frac{2}{1+\beta}} \in H_0^1(\Omega)$ . Also,  $\left| \ln \left( \phi^{\frac{2}{1+\beta}} \right) \right| \leq c \left( \phi^{-\frac{2\delta}{1+\beta}} + \phi^{\frac{2}{1+\beta}} \right) = c \left( \phi^{\frac{2(1-\beta)}{1+\beta}} + \phi^{\frac{2}{1+\beta}} \right)$ . Since  $\phi^{\frac{2(1-\beta)}{1+\beta}}$  and  $\phi^{\frac{2}{1+\beta}}$  belong to  $L^1(\Omega)$ , it follows that  $\int_\Omega a \left| \ln \left( \phi^{\frac{2}{1+\beta}} \right) \right| < \infty$ , and so  $\phi^{\frac{2}{1+\beta}} \in D_M^1$ .

For  $0 < \alpha < 3$ , let  $J : \cup_{M>0} D_M^\alpha \rightarrow \mathbb{R}$  be defined by

$$(2.1) \quad \begin{aligned} J(u) &:= \frac{1}{2} \int_\Omega |\nabla u|^2 - \frac{1}{1-\alpha} \int_{\{a>0\}} au^{1-\alpha} - \int_\Omega F(., u) \text{ if } \alpha \neq 1, \\ J(u) &:= \frac{1}{2} \int_\Omega |\nabla u|^2 - \int_{\{a>0\}} a \ln(u) - \int_\Omega F(., u) \text{ if } \alpha = 1, \end{aligned}$$

where  $F(x, s) := \int_0^s f(x, \sigma) d\sigma$ .

**Lemma 2.3.** *i) Assume H2) and H3). Let  $M > 0$ , and let  $\{u_j\}_{j \in \mathbb{N}}$  be a sequence of measurable functions on  $\Omega$  such that  $0 \leq u_j \leq M$  for all  $j \in \mathbb{N}$ , and  $\lim_{j \rightarrow \infty} u_j = u$  a.e. in  $\Omega$  for some  $u : \Omega \rightarrow \mathbb{R}$ . Then  $\lim_{j \rightarrow \infty} \int_\Omega F(., u_j) = \int_\Omega F(., u)$ .*

*ii) If H2) and H3) hold, and if  $u, v$  are nonnegative functions in  $L^\infty(\Omega)$ , then*

$$(2.2) \quad \lim_{t \rightarrow 0^+} \frac{1}{t} \int_\Omega (F(., u + tv) - F(., u)) = \int_\Omega v f(., u) \text{ and}$$

$$(2.3) \quad \lim_{t \rightarrow 0^+} \int_\Omega (F(., u + tv) - F(., u)) = 0.$$

*If, in addition,  $u - \varepsilon_0 v \geq 0$  for some  $\varepsilon_0 > 0$ . then*

$$(2.4) \quad \lim_{t \rightarrow 0^-} \frac{1}{t} \int_\Omega (F(., u + tv) - F(., u)) = \int_\Omega v f(., u) \text{ and}$$

$$(2.5) \quad \lim_{t \rightarrow 0^-} \int_\Omega (F(., u + tv) - F(., u)) = 0.$$

**Proof.** i) follows easily from H2) and H3) applying Lebesgue's dominated convergence theorem.

To see ii) note that, for  $0 < t < 1$ , by the mean value theorem,

$$(2.6) \quad F(., u + tv) - F(., u) = tvf(., u + \eta_t)$$

in  $\{v > 0\}$ ; where  $\eta_t : \{v > 0\} \rightarrow \mathbb{R}$  depends on  $u, v, t$ , and satisfies  $0 \leq \eta_t \leq t \|v\|_\infty$ . We define  $\eta_t = 0$  in  $\{v = 0\}$ , so that (2.6) holds in  $\Omega$ . Now,

$$\begin{aligned} & \left| \frac{1}{t} \int_{\Omega} (F(., u + tv) - F(., u)) - \int_{\Omega} vf(., u) \right| \\ &= \left| \int_{\Omega} v(f(., u + \eta_t) - f(., u)) \right| \leq \int_{\Omega} v |f(., u + \eta_t) - f(., u)|. \end{aligned}$$

By H2),  $\lim_{t \rightarrow 0^+} v |f(., u + \eta_t) - f(., u)| = 0$  a.e. in  $\Omega$ ; and, by H3),

$$v |f(., u + \eta_t) - f(., u)| \leq 2M \sup_{0 \leq s \leq 2M} |f(., s)| \in L^1(\Omega),$$

where  $M := \|u\|_\infty + \|v\|_\infty$ . Then, by Lebesgue's dominated convergence theorem,

$$\lim_{t \rightarrow 0^+} \int_{\Omega} v |f(., u + \eta_t) - f(., u)| = 0.$$

Thus (2.2) (and so also (2.3)) holds. The proofs of (2.4) and (2.5) are similar.

**Lemma 2.4.** *Assume H1)-H3), and let  $M > 0$ ,  $\alpha \in (0, 3)$ . Then*

i)  *$J$  is coercive on  $D_M^\alpha$  with respect to the topology of  $H_0^1(\Omega)$ ; i.e.,  $J(u) \rightarrow \infty$  when  $u \in D_M^\alpha$ , and  $\|\nabla u\|_2 \rightarrow \infty$ .*

ii)  *$\inf_{u \in D_M^\alpha} J(u)$  is achieved at some  $u \in D_M^\alpha$ .*

**Proof.** For  $u \in D_M^\alpha$  we have  $|\int_{\Omega} F(., u)| \leq MB_M$ , where  $B_M := \int_{\Omega} \sup_{0 \leq s \leq M} |f(., s)|$ . Note that, by H3),  $B_M < \infty$ .

If  $1 < \alpha < 3$ , we have  $-\frac{1}{1-\alpha} \int_{\Omega} au^{1-\alpha} \geq 0$ , then  $J(u) \geq \frac{1}{2} \int_{\Omega} |\nabla u|^2 - MB_M$ , which implies i).

If  $0 < \alpha < 1$ , from Hölder's and Poincaré's inequalities we get  $\frac{1}{1-\alpha} \int_{\Omega} au^{1-\alpha} \leq c \|\nabla u\|_2^{1-\alpha}$  for some positive constant  $c$  independent of  $u$ . Thus  $J(u) \geq \frac{1}{2} \|\nabla u\|_2^2 - c \|\nabla u\|_2^{1-\alpha} - MB_M$ ; therefore i) holds also in this case.

If  $\alpha = 1$ , using Poincaré's inequality, and that  $\ln s \leq s$  for  $s > 0$ , for some positive constant  $c$  independent of  $u$  we get

$$-\int_{\{a>0\}} a \ln u \geq -\int_{\{a>0\} \cap \{u \geq 1\}} a \ln u \geq -\int_{\{a>0\} \cap \{u \geq 1\}} au \geq -\int_{\Omega} au \geq -c \|\nabla u\|_2$$

and then  $J(u) \geq \frac{1}{2} \|\nabla u\|_2^2 - c \|\nabla u\|_2 - B_M$ ; consequently i) holds when  $\alpha = 1$ .



To prove ii), let  $\beta := \inf_{u \in D_M^\alpha} J(u)$ . Since  $D_M^\alpha \neq \emptyset$ , we have  $\beta < \infty$ . Consider a sequence  $\{u_j\}_{j \in \mathbb{N}} \subset D_M^\alpha$  such that  $\lim_{j \rightarrow \infty} J(u_j) = \beta$ ; it follows from i) that  $\{u_j\}_{j \in \mathbb{N}}$  is bounded in  $H_0^1(\Omega)$ . Since the inclusion  $H_0^1(\Omega) \hookrightarrow L^2(\Omega)$  is compact, there exist  $u \in H_0^1(\Omega)$ , and a subsequence  $\{u_{j_k}\}_{k \in \mathbb{N}}$  such that  $\{u_{j_k}\}_{k \in \mathbb{N}}$  converges strongly in  $L^2(\Omega)$ , and such that  $\{\nabla u_{j_k}\}_{k \in \mathbb{N}}$  converges weakly to  $\nabla u$  in  $L^2(\Omega, \mathbf{R}^n)$ . Taking a subsequence if necessary, we can assume that  $\{u_{j_k}\}_{k \in \mathbb{N}}$  converges to  $u$  a.e. in  $\Omega$ . Thus

$$(2.7) \quad \|\nabla u\|_2 \leq \underline{\lim}_{k \rightarrow \infty} \|\nabla u_{j_k}\|_2.$$

Note that  $u \in D_M^\alpha$ . Indeed, since  $0 \leq u_{j_k} \leq M$  for all  $k$ , we have  $0 \leq u \leq M$ , and so  $u \in D_M^\alpha$  when  $0 < \alpha < 1$ . If  $1 < \alpha < 3$ , by Fatou's lemma,

$$\begin{aligned} -\frac{1}{1-\alpha} \int_{\Omega} a u^{1-\alpha} &\leq \underline{\lim}_{k \rightarrow \infty} \int_{\Omega} \frac{-1}{1-\alpha} a u_{j_k}^{1-\alpha} \\ &= \underline{\lim}_{k \rightarrow \infty} \left( J(u_{j_k}) - \frac{1}{2} \int_{\Omega} |\nabla u_{j_k}|^2 + \int_{\Omega} F(\cdot, u_{j_k}) \right) \\ &\leq \underline{\lim}_{k \rightarrow \infty} J(u_{j_k}) + MB_M < \infty \end{aligned}$$

and then  $u \in D_M^\alpha$  when  $1 < \alpha < 3$ . If  $\alpha = 1$ , again by Fatou's Lemma,

$$\begin{aligned} \int_{\{a>0\}} a |\ln u| &= \int_{\{a>0\}} \underline{\lim}_{k \rightarrow \infty} a |\ln u_{j_k}| \\ &\leq \underline{\lim}_{k \rightarrow \infty} \left( - \int_{\{a>0\} \cap \{u_{j_k} \leq 1\}} a \ln u_{j_k} + \int_{\{a>0\} \cap \{u_{j_k} > 1\}} a \ln u_{j_k} \right) \\ &= \underline{\lim}_{k \rightarrow \infty} \left( - \int_{\{a>0\}} a \ln u_{j_k} + 2 \int_{\{a>0\} \cap \{u_{j_k} > 1\}} a \ln u_{j_k} \right) \\ &\leq \underline{\lim}_{k \rightarrow \infty} \left( - \int_{\{a>0\}} a \ln u_{j_k} + 2 \int_{\{a>0\}} a u_{j_k} \right) \end{aligned}$$

and, since  $\{a u_{j_k}\}_{k \in \mathbb{N}}$  converges to  $au$  in the  $L^1(\Omega)$  norm,

$$\begin{aligned} &\underline{\lim}_{k \rightarrow \infty} \left( - \int_{\{a>0\}} a \ln u_{j_k} + 2 \int_{\{a>0\}} a u_{j_k} \right) \\ &= \underline{\lim}_{k \rightarrow \infty} \left( - \int_{\{a>0\}} a \ln u_{j_k} \right) + 2 \int_{\{a>0\}} a u \\ &= \underline{\lim}_{k \rightarrow \infty} \left( J(u_{j_k}) - \frac{1}{2} \int_{\Omega} |\nabla u_{j_k}|^2 + \int_{\Omega} F(\cdot, u_{j_k}) \right) + 2 \int_{\{a>0\}} a u \\ &\leq \underline{\lim}_{k \rightarrow \infty} J(u_{j_k}) + MB_M + 2 \int_{\{a>0\}} a u < \infty. \end{aligned}$$

Then  $u \in D_M^\alpha$  also when  $\alpha = 1$ . Since  $u \in D_M^\alpha$ , we have  $J(u) \geq \beta$ ; therefore, to prove ii), it remains to show that  $J(u) \leq \beta$ . To do this observe that, by Lemma 2.3 i),

$$(2.8) \quad \lim_{k \rightarrow \infty} \int_{\Omega} F(\cdot, u_{j_k}) = \int_{\Omega} F(\cdot, u).$$

If  $1 < \alpha < 3$ , from (2.7), (2.8) and Fatou's lemma, we get

$$(2.9) \quad \begin{aligned} J(u) &= \frac{1}{2} \int_{\Omega} |\nabla u|^2 - \frac{1}{1-\alpha} \int_{\{a>0\}} au^{1-\alpha} - \int_{\Omega} F(\cdot, u) \\ &\leq \underline{\lim}_{k \rightarrow \infty} \left( \frac{1}{2} \int_{\Omega} |\nabla u_{j_k}|^2 \right) + \underline{\lim}_{k \rightarrow \infty} \left( -\frac{1}{1-\alpha} \int_{\{a>0\}} au_{j_k}^{1-\alpha} \right) \\ &\quad + \lim_{k \rightarrow \infty} \int_{\Omega} F(\cdot, u_{j_k}) \\ &\leq \underline{\lim}_{k \rightarrow \infty} \left( \frac{1}{2} \int_{\Omega} |\nabla u_{j_k}|^2 - \frac{1}{1-\alpha} \int_{\{a>0\}} au_{j_k}^{1-\alpha} - \int_{\Omega} F(\cdot, u_{j_k}) \right) \\ &= \underline{\lim}_{k \rightarrow \infty} J(u_{j_k}) = \beta. \end{aligned}$$

Then  $J(u) \leq \beta$  when  $1 < \alpha < 3$ . Consider now the case  $0 < \alpha < 1$ : since  $0 \leq u_{j_k} \leq M$  for all  $k$ , Lebesgue's dominated convergence theorem gives  $\lim_{k \rightarrow \infty} \frac{1}{1-\alpha} \int_{\{a>0\}} au_{j_k}^{1-\alpha} = \frac{1}{1-\alpha} \int_{\{a>0\}} au^{1-\alpha}$ , and then, as in (2.9), we get  $J(u) \leq \beta$ .

Finally suppose  $\alpha = 1$ : Since  $u_{j_k} \in D_M^\alpha$  we have  $a \ln(M/u_{j_k}) \geq 0$ , and then Fatou's lemma gives

$$\begin{aligned} - \int_{\{a>0\}} a \ln u &= \int_{\{a>0\}} a \ln \left( \frac{M}{u} \right) - \int_{\{a>0\}} a \ln M \\ &= \int_{\{a>0\}} \underline{\lim}_{k \rightarrow \infty} a \ln \left( \frac{M}{u_{j_k}} \right) - \int_{\{a>0\}} a \ln M \\ &\leq \underline{\lim}_{k \rightarrow \infty} \int_{\{a>0\}} a \ln \left( \frac{M}{u_{j_k}} \right) - \int_{\{a>0\}} a \ln M \\ &= \underline{\lim}_{k \rightarrow \infty} \left( \int_{\{a>0\}} a \ln M - \int_{\{a>0\}} a \ln u_{j_k} \right) - \int_{\{a>0\}} a \ln M \\ &= \underline{\lim}_{k \rightarrow \infty} \left( - \int_{\{a>0\}} a \ln u_{j_k} \right). \end{aligned}$$

Now, we proceed as in (2.9), replacing there  $-\frac{1}{1-\alpha} \int_{\{a>0\}} au_{j_k}^{1-\alpha}$  by  $-\int_{\{a>0\}} a \ln u_{j_k}$ , and  $-\frac{1}{1-\alpha} \int_{\{a>0\}} au^{1-\alpha}$  by  $-\int_{\{a>0\}} a \ln u$ , to conclude that  $J(u) \leq \beta$  also for  $\alpha = 1$ .

**Lemma 2.5.** *Assume H1)-H3), and let  $M > 0$ ,  $\alpha \in (0, 3)$ . Then*

$$(2.10) \quad \int_{\Omega} \langle \nabla u, \nabla(u\varphi) \rangle \leq \int_{\Omega} \chi_{\{u>0\}} au^{1-\alpha} \varphi + \int_{\Omega} f(\cdot, u) u \varphi$$

for any minimizer  $u$  for  $J$  on  $D_M^\alpha$ , and for any nonnegative  $\varphi \in H^1(\Omega) \cap L^\infty(\Omega)$ .

**Proof.** Let  $u$  be a minimizer for  $J$  on  $D_M^\alpha$ ,  $\tau \in (-1, 0)$ ; and let  $\varphi$  be a nonnegative function in  $H^1(\Omega) \cap L^\infty(\Omega)$  that, in addition, satisfies  $\|\varphi\|_\infty \leq \frac{1}{2}$ .

Note that  $u + \tau u \varphi \in D_M^\alpha$ . Indeed,  $0 \leq u + \tau u \varphi \leq M$  and (since  $u \in L^\infty(\Omega)$ )  $u + \tau u \varphi \in H_0^1(\Omega)$ . In particular, this gives  $u + \tau u \varphi \in D_M^\alpha$  when  $0 < \alpha < 1$ .

If  $1 < \alpha < 3$  we have also  $\left| a(u + \tau u \varphi)^{1-\alpha} \right| \leq \frac{1}{2^{1-\alpha}} a u^{1-\alpha} \in L^1(\{a > 0\})$ , and so  $u + \tau u \varphi \in D_M^\alpha$ .

If  $\alpha = 1$  then  $|a \ln(u + \tau u \varphi)| = a |\ln u + \ln(1 + \tau \varphi)| \leq a |\ln u| + a |\ln(1 + \tau \varphi)| \in L^1(\{a > 0\})$  and so, again in this case,  $u + \tau u \varphi \in D_M^\alpha$ .

To prove (2.10) we consider first the case where  $\alpha \neq 1$ . Since  $J(u) \leq J(u + \tau u \varphi)$ , a computation gives

$$(2.11) \quad \begin{aligned} & \tau \int_{\Omega} \langle \nabla u, \nabla(u\varphi) \rangle \\ & \geq \frac{1}{1-\alpha} \int_{\{a>0\}} a u^{1-\alpha} \left( (1 + \tau \varphi)^{1-\alpha} - 1 \right) + \int_{\Omega} (F(\cdot, u + \tau \varphi u) - F(\cdot, u)) \\ & \quad - \frac{\tau^2}{2} \int_{\Omega} u^2 |\nabla \varphi|^2 - \frac{\tau^2}{2} \int_{\Omega} \varphi^2 |\nabla u|^2 - \tau^2 \int_{\Omega} u \varphi \langle \nabla u, \nabla \varphi \rangle, \end{aligned}$$

and a Taylor expansion gives

$$(1 + \tau \varphi)^{1-\alpha} - 1 = (1 - \alpha) \tau \varphi + \frac{\tau^2}{2} (1 - \alpha) \alpha (1 + \zeta)^{-\alpha-1} \varphi^2$$

for some measurable function  $\zeta$  such that  $-\frac{1}{2} \leq \tau \varphi \leq \zeta \leq 0$ . Since  $a u^{1-\alpha} \in L^1(\{a > 0\})$ , and  $1 + \zeta \geq \frac{1}{2}$ , we have  $\left| \int_{\{a>0\}} a u^{1-\alpha} (1 + \zeta)^{-\alpha-1} \varphi^2 \right| \leq c$  where  $c$  is a positive constant independent of  $\tau$ ; and so,

$$(2.12) \quad \lim_{\tau \rightarrow 0^-} \frac{1}{(1-\alpha)\tau} \int_{\{a>0\}} a u^{1-\alpha} \left( (1 + \tau \varphi)^{1-\alpha} - 1 \right) = \int_{\{a>0\}} a u^{1-\alpha} \varphi.$$

Also, by Lemma 2.3 ii) we have

$$(2.13) \quad \lim_{\tau \rightarrow 0^-} \frac{1}{\tau} \int_{\Omega} (F(\cdot, u + \tau \varphi u) - F(\cdot, u)) = \int_{\Omega} \varphi u f(\cdot, u).$$

Dividing by  $\tau$  the inequality (2.11), letting  $\tau \rightarrow 0^-$ , and using (2.12) and (2.13), we get

$$(2.14) \quad \int_{\Omega} \langle \nabla u, \nabla(u\varphi) \rangle \leq \int_{\{a>0\}} a u^{1-\alpha} \varphi + \int_{\Omega} f(\cdot, u) u \varphi.$$

Note that  $a u^{1-\alpha} \varphi = \chi_{\{u>0\}} a u^{1-\alpha} \varphi$  (this clearly holds when  $0 < \alpha < 1$ ; and when  $1 \leq \alpha < 3$  the equality follows from the fact that  $u > 0$  a.e. in  $\{a > 0\}$ ). Thus (2.14) gives (2.10) and,

since both sides in (2.10) are linear on  $\varphi$ , our additional assumption  $\|\varphi\|_\infty \leq \frac{1}{2}$  can be removed. Thus the lemma holds when  $\alpha \neq 1$ .

If  $\alpha = 1$  we have, as before, (2.11), with the term  $\frac{1}{1-\alpha} \int_{\{a>0\}} au^{1-\alpha} \left( (1+\tau\varphi)^{1-\alpha} - 1 \right)$  replaced by  $\int_{\{a>0\}} a(\ln(u(1+\tau\varphi)) - \ln u) = \int_{\{a>0\}} a \ln(1+\tau\varphi)$ ; and a Taylor expansion gives  $\ln(1+\tau\varphi) = \tau\varphi - (1+\zeta_\tau)^{-2} \tau^2 \varphi^2$  for some measurable function  $\zeta_\tau : \Omega \rightarrow \mathbb{R}$  satisfying  $-\frac{1}{2} \leq \tau\varphi \leq \zeta_\tau \leq 0$ . Then

$$\lim_{\tau \rightarrow 0^-} \frac{1}{\tau} \int_{\{a>0\}} a(\ln(u(1+\tau\varphi)) - \ln u) = \int_{\{a>0\}} au\varphi$$

and so, proceeding as in the previous case, we conclude that (2.10) holds when  $\|\varphi\|_\infty \leq \frac{1}{2}$ ; and, as before, this additional assumption on  $\varphi$  can be removed.

**Lemma 2.6.** *Assume H1)-H3). Let  $M > 0$ ,  $\alpha \in (0, 3)$ . Let  $m$  be an integer such that  $m \geq \max\{2, 1 + \alpha\}$ , and let  $u$  be a minimizer for  $J$  on  $D_M^\alpha$ . Then, for any nonnegative  $\varphi \in H_0^1(\Omega) \cap L^\infty(\Omega)$ ,*

$$\int_{\Omega} \langle \nabla(u^m), \nabla(\varphi) \rangle \leq m \int_{\Omega} (au^{m-1-\alpha} + u^{m-1} f(\cdot, u)) \varphi$$

**Proof.**  $u$  is bounded, therefore  $u^m \in H_0^1(\Omega)$  and  $\nabla(u^m) = mu^{m-1}\nabla u$ . Also  $u^{m-2}\varphi \in H_0^1(\Omega) \cap L^\infty(\Omega)$  for any nonnegative  $\varphi \in H_0^1(\Omega) \cap L^\infty(\Omega)$ . Then

$$\begin{aligned} (2.15) \quad & \int_{\Omega} \langle \nabla(u^m), \nabla\varphi \rangle \\ &= m \int_{\Omega} u^{m-1} \langle \nabla u, \nabla\varphi \rangle \\ &= m \int_{\Omega} \langle \nabla u, \nabla(u^{m-1}\varphi) \rangle - m(m-1) \int_{\Omega} u^{m-2}\varphi |\nabla u|^2 \\ &\leq m \int_{\Omega} (\chi_{\{u>0\}} au^{m-1-\alpha} + u^{m-1} f(\cdot, u)) \varphi, \end{aligned}$$

the last inequality by Lemma 2.5. Since  $\chi_{\{u>0\}} au^{m-1-\alpha} = au^{m-1-\alpha}$ , the lemma follows.

**Remark 2.7.** Let  $u \in L_{loc}^1(\Omega)$  such that  $\nabla u \in L^2(\Omega)$ , and let  $w \in L^\infty(\Omega)$ . If  $\int_{\Omega} \langle \nabla u, \nabla\varphi \rangle \leq \int_{\Omega} w\varphi$  (respectively  $\int_{\Omega} \langle \nabla u, \nabla\varphi \rangle \geq \int_{\Omega} w\varphi$ ) for every nonnegative  $\varphi \in H_0^1(\Omega) \cap L^\infty(\Omega)$  then the corresponding inequality holds for all nonnegative  $\varphi \in H_0^1(\Omega)$  (by using a density argument with the truncations  $\varphi_j(x) := \min\{\varphi(x), j\}$ ,  $j \in \mathbb{N}$ ).

**Lemma 2.8.** *Assume H1)-H4), and  $\alpha \in (0, 3)$ . Then there exists a positive number  $\mathcal{M}$  such that, for any  $M > 0$ , and any minimizer  $u$  for  $J$  on  $D_M^\alpha$ ,  $\|u\|_\infty \leq \mathcal{M}$ .*

**Proof.** Let  $M > 0$ , and let  $u$  be a minimizer for  $J$  on  $D_M^\alpha$ . Assume first that  $H4'$  holds, and let  $m$  and  $b$  be as there. By Lemma 2.6, for  $0 \leq \varphi \in H_0^1(\Omega) \cap L^\infty(\Omega)$ ,

$$\begin{aligned} \int_{\Omega} \langle \nabla(u^m), \nabla(\varphi) \rangle &\leq m \int_{\Omega} (\chi_{\{u>0\}} a u^{m-1-\alpha} + u^{m-1} f(\cdot, u)) \varphi \\ &\leq m \int_{\Omega} (\chi_{\{u>0\}} a u^{m-1-\alpha} + b u^m) \varphi \end{aligned}$$

and so, by Remark 2.7, the same inequalities hold for all nonnegative  $\varphi \in H_0^1(\Omega)$ , i.e.,

$$(-\Delta - mb)(u^m) \leq m \chi_{\{u>0\}} a u^{m-1-\alpha} = m a u^{m-1-\alpha} \text{ in } (H_0^1(\Omega))'.$$

Since  $0 < m < \lambda_1(b)$ , the operator  $(-\Delta - mb)^{-1} : L^\infty(\Omega) \rightarrow H_0^1(\Omega) \subset L^\infty(\Omega)$  is well defined, bounded and positive. Let  $v := (-\Delta - mb)^{-1}(m a u^{m-1-\alpha})$ . Then

$$\|u\|_\infty^m \leq \|v\|_\infty \leq \left\| (-\Delta - mb)^{-1} \right\|_{\infty, \infty} \|m a u^{m-1-\alpha}\|_\infty = c \|u\|_\infty^{m-1-\alpha}$$

for some positive constant  $c$  independent of  $M$  and  $u$ ; therefore the lemma holds with  $\mathcal{M} = c^{\frac{1}{1+\alpha}}$ .

Assume now that  $H4''$  holds. Let  $m$  be an integer such that  $m \geq \max\{2, 1 + \alpha\}$ , let  $\lambda_1 := \lambda_1(\mathbf{1})$ , let  $\varepsilon \in (0, \frac{\lambda_1}{m})$ , and let  $s_0 > 0$  be such that  $\sup_{s \geq s_0} \frac{f(\cdot, s)}{s} \leq \varepsilon$ . From Lemma 2.6 we have, for  $0 \leq \varphi \in H_0^1(\Omega) \cap L^\infty(\Omega)$ , that

$$\begin{aligned} (2.16) \quad &\int_{\Omega} \langle \nabla(u^m), \nabla(\varphi) \rangle \\ &\leq m \int_{\Omega} \chi_{\{u>0\}} a u^{m-1-\alpha} \varphi + m \int_{\{u < s_0\}} u^{m-1} f(\cdot, u) \varphi + m \int_{\{u \geq s_0\}} u^{m-1} f(\cdot, u) \varphi \\ &\leq m \int_{\Omega} \chi_{\{u>0\}} a u^{m-1-\alpha} \varphi + m s_0^{m-1} \int_{\{u < s_0\}} \sup_{s < s_0} |f(\cdot, s)| \varphi + m \int_{\{u \geq s_0\}} u^m \frac{f(\cdot, u)}{u} \varphi \\ &\leq m \int_{\Omega} (a u^{m-1-\alpha} + A + m \varepsilon u^m) \varphi, \end{aligned}$$

where

$$A := m s_0^{m-1} \sup_{(x,s) \in \bar{\Omega} \times [0, s_0]} |f(x, s)|$$

is a constant independent of  $M$  and  $u$ . Thus, by Remark 2.7,

$$(2.17) \quad -\Delta(u^m) \leq m a (u^m)^{\frac{m-1-\alpha}{m}} + m \varepsilon u^m + A \text{ in } (H_0^1(\Omega))'.$$

Since  $m \varepsilon < \lambda_1(\mathbf{1})$  we have that  $(-\Delta - m \varepsilon \mathbf{1})^{-1}$  is a bounded and positive operator on  $L^\infty(\Omega)$ ; and so, from (2.17),  $u^m \leq (-\Delta - m \varepsilon \mathbf{1})^{-1} \left( m a (u^m)^{\frac{m-1-\alpha}{m}} + A \right)$ ; which gives

$$(2.18) \quad \|u^m\|_\infty \leq c' \|u^m\|_\infty^{\frac{m-1-\alpha}{m}} + c'$$

for some  $c'$  independent of  $M$  and  $u$ . Since  $0 < \frac{m-1-\alpha}{m} < 1$ , the lemma follows.

**Remark 2.9.** Let  $w \in L^1(\Omega)$  such that  $|\{w > 0\}| > 0$ , and let  $\beta \in [0, 1)$ . Then there exists a nonnegative  $\Phi \in C_c^\infty(\Omega)$  such that  $\int w\Phi^{1-\beta} > 0$ . Indeed, consider a nonnegative radial function  $h \in C_c^\infty(\mathbb{R}^n)$  with support in the unit ball  $B = \{x \in \mathbb{R}^n : |x| < 1\}$  and such that  $\int_B h = 1$ . For  $\varepsilon > 0$  let  $h_\varepsilon(x) := \frac{1}{\varepsilon^n} h(\frac{x}{\varepsilon})$  and for  $\delta > 0$  let  $\Omega_\delta := \{x \in \Omega : \text{dist}(x, \partial\Omega) > \delta\}$ . Then  $|\{w > 0\} \cap \Omega_\delta| > 0$  for  $\delta$  positive and small enough. Fix such a  $\delta$  and define  $E = \{x \in \Omega : w(x) > 0\} \cap \Omega_\delta$ . For  $\varepsilon > 0$  define  $\Phi_\varepsilon := h_\varepsilon * \chi_E$ . Then  $\Phi_\varepsilon \in C_c^\infty(\mathbb{R}^n)$  and  $\text{supp}(\Phi_\varepsilon) \subset \Omega$  for  $\varepsilon < \delta$ . Also,  $\lim_{\varepsilon \rightarrow 0^+} \Phi_\varepsilon = \chi_E$  with convergence in  $L^1(\Omega)$  (see e.g., [2], Theorem 4.22), and so  $\lim_{j \rightarrow \infty} \Phi_{\varepsilon_j} = \chi_E$  a.e. in  $\Omega$  for some sequence  $\{\varepsilon_j\}_{j \in \mathbb{N}}$  such that  $\lim_{j \rightarrow \infty} \varepsilon_j = 0$ . Then, by Fatou's Lemma,  $0 < \int_\Omega w\chi_E \leq \underline{\lim}_{j \rightarrow \infty} \int_\Omega w\Phi_{\varepsilon_j}^{1-\beta}$ . Thus  $\int_\Omega w\Phi_{\varepsilon_j}^{1-\beta} > 0$  for  $j$  large enough.

**Lemma 2.10.** Let  $\alpha \in (0, 3)$ . Assume H1)-H4). Let  $\mathcal{M}$  be as in Lemma 2.8, and let  $M > \mathcal{M}$ . If  $u$  is a minimizer for  $J$  on  $D_M^\alpha$ , then  $\chi_{\{u>0\}} au^{-\alpha} \not\equiv 0$ . In particular,  $u \not\equiv 0$ .

**Proof.** If  $1 \leq \alpha < 3$ ,  $u \in D_M^\alpha$  implies  $u > 0$  a.e. in  $\{a > 0\}$ , and so  $\chi_{\{u>0\}} au^{-\alpha} \not\equiv 0$ . To prove the lemma when  $0 < \alpha < 1$  we proceed by contradiction. Suppose that  $u$  is a minimizer for  $J$  on  $D_M^\alpha$  and that  $\chi_{\{u>0\}} au^{-\alpha} = 0$ . Let  $\Phi \in C_c^\infty(\Omega)$  such that  $\Phi \geq 0$  and  $\int a\Phi^{1-\alpha} > 0$ . By Lemma 2.8,  $u \leq \mathcal{M} < M$ ; thus  $u + t\Phi \in D_M^\alpha$  for  $t$  positive and small enough, and so  $J(u) \leq J(u + t\Phi)$ . Also,  $\chi_{\{u>0\}} au^{-\alpha} = 0$  implies that  $u = 0$  a.e. in  $\{a > 0\}$ . Then  $\int_\Omega au^{1-\alpha} = 0$ , and  $\int_\Omega a(u + t\Phi)^{1-\alpha} = \int_\Omega a(t\Phi)^{1-\alpha}$ . Thus the inequality  $J(u) \leq J(u + t\Phi)$  can be written as

$$0 \leq t \int_\Omega \langle \nabla u, \nabla \Phi \rangle + \frac{t^2}{2} \int_\Omega |\nabla \Phi|^2 - \frac{t^{1-\alpha}}{1-\alpha} \int_{\{a>0\}} a\Phi^{1-\alpha} - \int_\Omega (F(\cdot, u + t\Phi) - F(\cdot, u)).$$

From this inequality, dividing by  $t^{1-\alpha}$ , taking the limit as  $t \rightarrow 0^+$ , using that, by Lemma 2.3 ii),  $\lim_{t \rightarrow 0^+} \frac{1}{t} \int_\Omega (F(\cdot, u + t\Phi) - F(\cdot, u)) = \int_\Omega \Phi f(\cdot, u)$ , and recalling that  $\int_{\{a>0\}} a\Phi^{1-\alpha} > 0$ , we obtain a contradiction.

In order to emphasize the dependence on  $f$ , we will sometimes write  $J_f$  for the functional  $J$ .

**Lemma 2.11.** Let  $\alpha \in (0, 3)$ . Assume H1)-H3), H5), and that either H6) or H7) holds. When H7) holds assume also that there exists  $s_0 > 0$  such that  $\text{ess sup}_{(x,s) \in \Omega \times (s_0, \infty)} \frac{f(x,s)}{s} < \infty$ . Then there exists  $\lambda^* > 0$  such that, for any  $\lambda \in (0, \lambda^*)$ , there exists  $\mathcal{M}_\lambda > 0$  such that  $\|u_\lambda\|_\infty \leq \mathcal{M}_\lambda$  for any  $M > 0$ , and any minimizer  $u_\lambda$  for  $J_{\lambda f}$  on  $D_M^\alpha$ . If, in addition,  $f \leq 0$  in  $\Omega \times (0, \infty)$ , then  $\lambda^* = \infty$ .

**Proof.** Consider the case when  $H7)$  holds. Let  $M > 0$  and let  $u$  be a minimizer for  $J_{\lambda f}$  on  $D_M^\alpha$ . Let  $m$  be an integer such that  $m \geq \max\{2, 1 + \alpha\}$  and let  $k > \max\left\{0, \operatorname{ess\,sup}_{x \in \Omega \times (s_0, \infty)} \frac{f(x, s)}{s}\right\}$ . For  $\lambda > 0$  we can repeat the computations performed in (2.16), with  $\lambda f$  and  $\lambda mk$  in place of  $f$  and  $m\varepsilon$  respectively, to obtain, for  $0 \leq \varphi \in H_0^1(\Omega) \cap L^\infty(\Omega)$ , that

$$(2.20) \quad \begin{aligned} \int_{\Omega} \langle \nabla(u^m), \nabla(\varphi) \rangle &\leq m \int_{\Omega} au^{m-1-\alpha} \varphi + A \int_{\Omega} \varphi + \int_{\Omega \cap \{u > s_0\}} m\lambda u^m \frac{f(x, u)}{u} \varphi \\ &\leq m \int_{\Omega} au^{m-1-\alpha} \varphi + A \int_{\Omega} \varphi + \delta \int_{\Omega} m\lambda ku^m \varphi, \end{aligned}$$

where  $\delta := 0$  if  $f \leq 0$  in  $\Omega \times [0, \infty)$ , and  $\delta := 1$  otherwise; and where

$$A := m\lambda s_0^{m-1} \|f|_{\Omega \times (0, s_0)}\|_{L^\infty(\Omega \times (0, s_0))}$$

is a constant independent of  $M$  and  $u$ . Then, as in Lemma 2.8, we arrive to

$$(2.21) \quad -\Delta(u^m) \leq ma(u^m)^{\frac{m-1-\alpha}{m}} + \delta m\lambda ku^m + A \text{ in } (H_0^1(\Omega))'.$$

If  $\delta = 1$  and  $0 < \lambda < \frac{\lambda_1(\mathbf{1})}{mk}$ , then  $\lambda_1(\lambda \delta mk \mathbf{1}) = \frac{\lambda_1(\mathbf{1})}{\lambda mk} > 1$ ; and so, from (2.21),

$$u^m \leq (-\Delta - \lambda mk)^{-1} \left( ma(u^m)^{\frac{m-1-\alpha}{m}} + A \right),$$

which implies (2.18) for some positive constant  $c'$  independent of  $M$  and  $u$ ; therefore the lemma holds with  $\lambda^* = \frac{\lambda_1(\mathbf{1})}{m}$ . If  $\delta = 0$  (i.e., if  $f \leq 0$ ), (2.21) gives  $u^m \leq (-\Delta)^{-1} \left( ma(u^m)^{\frac{m-1-\alpha}{m}} + A \right)$ , which implies that (2.18) holds for all  $\lambda \geq 0$ ; therefore, in this case, the lemma holds with  $\lambda^* = \infty$ .

When  $H6)$  holds the proof is similar: let  $k > \max\left\{0, \operatorname{ess\,sup}_{x \in \Omega \times (0, \infty)} \frac{f(x, s)}{s}\right\}$ . Instead of (2.20) we now have

$$\begin{aligned} \int_{\Omega} \langle \nabla(u^m), \nabla(\varphi) \rangle &\leq m \int_{\Omega} au^{m-1-\alpha} \varphi + \delta \int_{\Omega \cap \{u > 0\}} m\lambda u^m \frac{f(x, u)}{u} \varphi \\ &\leq m \int_{\Omega} au^{m-1-\alpha} \varphi + \delta \int_{\Omega} m\lambda ku^m \varphi, \end{aligned}$$

with  $\delta$  as before. Thus (2.21) holds with  $A = 0$ , and the proof ends as in the previous case.

### 3. Proofs of the main results

**Proof of Theorem 1.2.** Let  $\mathcal{M}$  be as given in Lemma 2.8. Let  $M = \mathcal{M} + 1$ , and let  $u$  be a minimizer for  $J$  on  $D_M^\alpha$ . Thus, by Lemma 2.10,  $\chi_{\{u > 0\}} au^{-\alpha} \not\equiv 0$  (and so  $u \not\equiv 0$ ). Let  $\psi$  be

a nonnegative function in  $H_0^1(\Omega) \cap L^\infty(\Omega)$ , and let  $\varepsilon > 0$ . Thus  $\frac{\psi}{u+\varepsilon} \in H^1(\Omega) \cap L^\infty(\Omega)$ , and  $\nabla\left(u\frac{\psi}{u+\varepsilon}\right) = \varepsilon\frac{\nabla u}{(u+\varepsilon)^2}\psi + \frac{u}{u+\varepsilon}\nabla\psi$ . Then Lemma 2.5 gives

$$(3.1) \quad \begin{aligned} & \varepsilon \int_{\Omega} \psi \frac{|\nabla u|^2}{(u+\varepsilon)^2} + \int_{\Omega} \frac{u}{u+\varepsilon} \langle \nabla u, \nabla \psi \rangle \\ & \leq \int_{\Omega} \chi_{\{a>0\}} a u^{1-\alpha} \frac{\psi}{u+\varepsilon} + \int_{\Omega} f(\cdot, u) u \frac{\psi}{u+\varepsilon}. \end{aligned}$$

Since  $\nabla u = 0$  a.e. in  $\{u = 0\}$ , (3.1) can be written as

$$(3.2) \quad \begin{aligned} & \varepsilon \int_{\{u>0\}} \psi \frac{|\nabla u|^2}{(u+\varepsilon)^2} + \int_{\{u>0\}} \frac{u}{u+\varepsilon} \langle \nabla u, \nabla \psi \rangle \\ & - \int_{\{u>0\}} f(\cdot, u) \frac{u}{u+\varepsilon} \psi \leq \int_{\{u>0\}} a u^{-\alpha} \frac{u}{u+\varepsilon} \psi. \end{aligned}$$

Also  $\lim_{\varepsilon \rightarrow 0^+} \frac{u}{u+\varepsilon} \langle \nabla u, \nabla \psi \rangle = \chi_{\{u>0\}} \langle \nabla u, \nabla \psi \rangle = \langle \nabla u, \nabla \psi \rangle$  a.e. in  $\Omega$ , and  $|\frac{u}{u+\varepsilon} \langle \nabla u, \nabla \psi \rangle| \leq |\langle \nabla u, \nabla \psi \rangle| \in L^1(\Omega)$ , and so Lebesgue's dominated convergence theorem gives

$$(3.3) \quad \lim_{\varepsilon \rightarrow 0^+} \int_{\{u>0\}} \frac{u}{u+\varepsilon} \langle \nabla u, \nabla \psi \rangle = \int_{\Omega} \langle \nabla u, \nabla \psi \rangle.$$

Since  $\lim_{\varepsilon \rightarrow 0^+} a u^{-\alpha} \frac{u}{u+\varepsilon} \psi = a u^{-\alpha} \psi$  a.e. in  $\{u > 0\}$ , and  $a u^{-\alpha} \frac{u}{u+\varepsilon} \psi$  is nonincreasing in  $\varepsilon$ , the monotone convergence theorem gives

$$(3.4) \quad \lim_{\varepsilon \rightarrow 0^+} \int_{\{u>0\}} a u^{-\alpha} \frac{u}{u+\varepsilon} \psi = \int_{\{u>0\}} a u^{-\alpha} \psi = \int_{\Omega} \chi_{\{u>0\}} a u^{-\alpha} \psi$$

Also,  $|\frac{u}{u+\varepsilon} f(\cdot, u) \psi| \leq \sup_{0 \leq s \leq M} |f(\cdot, s)| \psi \in L^1(\Omega)$  and then, by Lebesgue's dominated convergence theorem,

$$(3.5) \quad \lim_{\varepsilon \rightarrow 0^+} \int_{\{u>0\}} f(\cdot, u) \frac{u}{u+\varepsilon} \psi = \int_{\Omega} \chi_{\{u>0\}} f(\cdot, u) \psi \leq \int_{\Omega} f(\cdot, u) \psi,$$

the last equality because, by H5),  $f(\cdot, 0) \geq 0$ . Then, from (3.2), (3.3), (3.4) and (3.5), we have

$$(3.6) \quad \begin{aligned} & \int_{\Omega} \langle \nabla u, \nabla \psi \rangle - \int_{\Omega} f(\cdot, u) \psi \\ & \leq \int_{\Omega} \langle \nabla u, \nabla \psi \rangle - \int_{\Omega} \chi_{\{u>0\}} f(\cdot, u) \psi \\ & \leq \lim_{\varepsilon \rightarrow 0^+} \left( \int_{\{u>0\}} \frac{u}{u+\varepsilon} \langle \nabla u, \nabla \psi \rangle - \int_{\{u>0\}} f(\cdot, u) \frac{u}{u+\varepsilon} \psi \right) \\ & \leq \overline{\lim}_{\varepsilon \rightarrow 0^+} \left( \int_{\{u>0\}} \frac{\varepsilon \psi |\nabla u|^2}{(u+\varepsilon)^2} + \int_{\{u>0\}} \frac{u}{u+\varepsilon} \langle \nabla u, \nabla \psi \rangle - \int_{\{u>0\}} f(\cdot, u) \frac{u}{u+\varepsilon} \psi \right) \\ & \leq \overline{\lim}_{\varepsilon \rightarrow 0^+} \int_{\{u>0\}} a u^{-\alpha} \frac{u}{u+\varepsilon} \psi = \int_{\Omega} \chi_{\{u>0\}} a u^{-\alpha} \psi. \end{aligned}$$



Thus  $\int_{\Omega} \langle \nabla u, \nabla \psi \rangle - \int_{\Omega} f(\cdot, u) \psi \leq \int_{\Omega} \chi_{\{u>0\}} a u^{-\alpha} \psi$ . To prove the existence assertion of the theorem it remains to see that  $\chi_{\{u>0\}} a u^{-\alpha} \psi \in L^1(\Omega)$ , and that

$$(3.7) \quad \int_{\Omega} \langle \nabla u, \nabla \psi \rangle - \int_{\Omega} f(\cdot, u) \psi \geq \int_{\Omega} \chi_{\{u>0\}} a u^{-\alpha} \psi$$

for any nonnegative  $\psi \in H_0^1(\Omega) \cap L^\infty(\Omega)$ . Assume temporarily that  $\psi$  satisfies the additional condition  $\|\psi\|_\infty \leq \frac{1}{2}$ , and let  $t \in (0, 1)$ . Note that  $u + t\psi \in D_M^\alpha$ . Indeed, by Lemma 2.8 we have  $u \leq \mathcal{M}$ , and so  $0 \leq u + t\psi \leq \mathcal{M} + 1 \leq M$ . Also  $u + t\psi \in H_0^1(\Omega)$ . Thus  $u + t\psi \in D_M^\alpha$  when  $0 < \alpha < 1$ .

If  $1 < \alpha < 3$ , then  $0 \leq a(u + t\psi)^{1-\alpha} \leq a u^{1-\alpha} \in L^1(\{a > 0\})$ , and so  $u + t\psi \in D_M^\alpha$ .

If  $\alpha = 1$ , we have  $a |\ln(u + t\psi)| \leq a(u + t\psi)$  in  $\{a > 0\} \cap \{u + t\psi \geq 1\}$ , and  $a |\ln(u + t\psi)| \leq a |\ln(u)|$  in  $\{a > 0\} \cap \{u + t\psi < 1\}$ . Thus  $a |\ln(u + t\psi)| \in L^1(\{a > 0\})$ , which implies that  $u + t\psi \in D_M^\alpha$ .

To prove (3.7) we consider first the case  $\alpha \neq 1$ : Using  $J(u) \leq J(u + t\psi)$  we obtain

$$(3.8) \quad \begin{aligned} 0 &\leq \frac{1}{t} (J(u + t\psi) - J(u)) \\ &= \int_{\Omega} \langle \nabla u, \nabla \psi \rangle + \frac{t}{2} \int_{\Omega} |\nabla \psi|^2 - \int_{\{a>0\}} \frac{1}{(1-\alpha)t} a \left( (u + t\psi)^{1-\alpha} - u^{1-\alpha} \right) \\ &\quad - \frac{1}{t} \int_{\Omega} (F(\cdot, u + t\psi) - F(\cdot, u)). \end{aligned}$$

If  $1 < \alpha < 3$  we have  $u > 0$  a.e. in  $\{a > 0\}$ , and so

$$(3.9) \quad \begin{aligned} &\int_{\{a>0\}} \frac{1}{(1-\alpha)t} a \left( (u + t\psi)^{1-\alpha} - u^{1-\alpha} \right) \\ &= \int_{\{a>0\} \cap \{u>0\}} \frac{1}{(1-\alpha)t} a \left( (u + t\psi)^{1-\alpha} - u^{1-\alpha} \right) \\ &= \int_{\{a>0\} \cap \{u>0\} \cap \{\psi>0\}} \frac{1}{(1-\alpha)t} a \left( (u + t\psi)^{1-\alpha} - u^{1-\alpha} \right). \end{aligned}$$

By the mean value theorem  $(u + t\psi)^{1-\alpha} - u^{1-\alpha} = (1-\alpha)(u + \sigma_t)^{-\alpha} \psi$  holds a.e. on  $\{u > 0\} \cap \{\psi > 0\}$ , where  $\sigma_t$  is a measurable function (that depends on  $t, u$  and  $\psi$ ) such that  $0 < \sigma_t < t\psi$ .

Thus

$$(3.10) \quad \begin{aligned} &\frac{1}{(1-\alpha)t} \int_{\{a>0\} \cap \{u>0\} \cap \{\psi>0\}} a \left( (u + t\psi)^{1-\alpha} - u^{1-\alpha} \right) \\ &= \int_{\{a>0\} \cap \{u>0\} \cap \{\psi>0\}} a (u + \sigma_t)^{-\alpha} \psi. \end{aligned}$$

Note that  $a(u + \sigma_t)^{-\alpha} \psi \geq 0$  and  $\lim_{t \rightarrow 0^+} a(u + \sigma_t)^{-\alpha} \psi = au^{-\alpha} \psi$  hold *a.e.* on the set where  $a > 0$ ,  $u > 0$ , and  $\psi > 0$ ; therefore, from (3.9), (3.10) and Fatou's Lemma, we get

$$\begin{aligned}
(3.11) \quad & \underline{\lim}_{t \rightarrow 0^+} \int_{\{a>0\}} \frac{1}{(1-\alpha)t} a \left( (u+t\psi)^{1-\alpha} - u^{1-\alpha} \right) \\
& \geq \int_{\{a>0\} \cap \{u>0\} \cap \{\psi>0\}} \underline{\lim}_{t \rightarrow 0^+} a(u + \sigma_t)^{-\alpha} \psi \\
& = \int_{\{a>0\} \cap \{u>0\} \cap \{\psi>0\}} au^{-\alpha} \psi = \int_{\Omega} \chi_{\{u>0\}} au^{-\alpha} \psi.
\end{aligned}$$

Consider now the case  $0 < \alpha < 1$ : we again apply the mean value theorem to get a measurable function  $\sigma_t : \{\psi > 0\} \rightarrow \mathbb{R}$  (which depends on  $t, u$  and  $\psi$ ) that satisfies  $0 < \sigma_t < t\psi$ , and

$$\begin{aligned}
& \underline{\lim}_{t \rightarrow 0^+} \int_{\{a>0\}} \frac{1}{(1-\alpha)t} a \left( (u+t\psi)^{1-\alpha} - u^{1-\alpha} \right) \\
& = \underline{\lim}_{t \rightarrow 0^+} \int_{\{a>0\} \cap \{\psi>0\}} \frac{1}{(1-\alpha)t} a \left( (u+t\psi)^{1-\alpha} - u^{1-\alpha} \right) \\
& \geq \int_{\{a>0\} \cap \{\psi>0\}} \underline{\lim}_{t \rightarrow 0^+} (a(u + \sigma_t)^{-\alpha} \psi) \\
& = \int_{\{a>0\} \cap \{\psi>0\}} au^{-\alpha} \psi,
\end{aligned}$$

where  $(au^{-\alpha} \psi)(x) := \infty$  if  $a(x) > 0$ ,  $\psi(x) > 0$ , and  $u(x) = 0$ . Thus, for  $\alpha \in (0, 1) \cup (1, 3)$ , we have

$$(3.12) \quad \underline{\lim}_{t \rightarrow 0^+} \frac{1}{(1-\alpha)t} \int_{\{a>0\}} a \left( (u+t\psi)^{1-\alpha} - u^{1-\alpha} \right) \geq \int_{\Omega} \chi_{\{u>0\}} au^{-\alpha} \psi.$$

Also, by Lemma 2.3 ii), we have

$$(3.13) \quad \lim_{t \rightarrow 0^+} \frac{1}{t} \int_{\Omega} (F(\cdot, u+t\psi) - F(\cdot, u)) = \int_{\Omega} f(\cdot, u) \psi.$$

Now, from (3.8),

$$\begin{aligned}
(3.14) \quad & \frac{1}{(1-\alpha)t} \int_{\{a>0\} \cap \{u>0\} \cap \{\psi>0\}} a \left( (u+t\psi)^{1-\alpha} - u^{1-\alpha} \right) \\
& \leq \int_{\Omega} \langle \nabla u, \nabla \psi \rangle - \frac{1}{t} \int_{\Omega} (F(\cdot, u+t\psi) - F(\cdot, u)) + \frac{t}{2} \int_{\Omega} |\nabla \psi|^2
\end{aligned}$$

and so, for  $1 < \alpha < 3$ , taking  $\underline{\lim}_{t \rightarrow 0^+}$  in (3.14), and using (3.11), (3.12), and (3.13), we get

$$(3.15) \quad \int_{\Omega} \langle \nabla u, \nabla \psi \rangle - \int_{\Omega} f(\cdot, u) \psi \geq \int_{\Omega} \chi_{\{u>0\}} au^{-\alpha} \psi \text{ if } 1 < \alpha < 3,$$

which, in particular, gives  $\chi_{\{u>0\}} au^{-\alpha} \psi \in L^1(\Omega)$ . Since both sides in (3.15) are linear on  $\psi$ , the additional assumption  $\|\psi\|_\infty \leq \frac{1}{2}$  can be removed. Then  $u$  is a solution to (1.1) when  $1 < \alpha < 3$ ; and since  $u \in D_M^\alpha$ , it satisfies  $u > 0$  *a.e.* in  $\{a > 0\}$ .

Similarly, if  $0 < \alpha < 1$ , taking  $\lim_{t \rightarrow 0^+}$  in (3.14), and using (3.11), (3.12) and (3.13), we get

$$(3.16) \quad \int_{\Omega} \langle \nabla u, \nabla \psi \rangle - \int_{\Omega} f(\cdot, u) \psi \geq \int_{\{a>0\} \cap \{\psi>0\}} au^{-\alpha} \psi \text{ if } 0 < \alpha < 1,$$

for any nonnegative  $\psi \in H_0^1(\Omega) \cap L^\infty(\Omega)$ . In particular (3.16) gives that  $u > 0$  *a.e.* in  $\{a > 0\} \cap \{\psi > 0\}$ . From this fact, we conclude (using Remark 2.9 applied with  $w = a\chi_{\{u=0\}}$ ) that  $u > 0$  *a.e.* in  $\{a > 0\}$ . Then  $\int_{\{a>0\} \cap \{\psi>0\}} au^{-\alpha} \psi = \int_{\Omega} \chi_{\{u>0\}} au^{-\alpha} \psi$ ; and so, if  $0 < \alpha < 1$ , (3.16) becomes

$$(3.17) \quad \int_{\Omega} \langle \nabla u, \nabla \psi \rangle - \int_{\Omega} f(\cdot, u) \psi \geq \int_{\Omega} \chi_{\{u>0\}} au^{-\alpha} \psi,$$

which, in particular, gives  $\chi_{\{u>0\}} au^{-\alpha} \psi \in L^1(\Omega)$ . Summing up, when  $\alpha \neq 1$ ,  $u > 0$  *a.e.* in  $\{a > 0\}$ ; and, for any nonnegative  $\psi \in H_0^1(\Omega) \cap L^\infty(\Omega)$ ,  $\chi_{\{u>0\}} au^{-\alpha} \psi \in L^1(\Omega)$ , and (3.7) holds.

When  $\alpha = 1$ , the same facts can be proved proceeding, line by line, as in the case  $1 < \alpha < 3$ , but with  $\frac{1}{1-\alpha} a \left( (u+t\psi)^{1-\alpha} - u^{1-\alpha} \right)$  replaced by  $a(\ln(u+t\psi) - \ln u)$ ; and using that, on the set  $\{u > 0\} \cap \{\psi > 0\}$ , we have

$$\ln(u+t\psi) - \ln u = \frac{1}{2} (u + \sigma)^{-1} t\psi$$

for some measurable function  $\sigma$ , that depends on  $t, u$  and  $\psi$ , and satisfies  $0 < \sigma < t\psi$ .

Finally, if  $f(\cdot, 0) > 0$  *a.e.* in  $\Omega$ , by (3.6) we have, for any nonnegative  $\psi \in H_0^1(\Omega) \cap L^\infty(\Omega)$ ,

$$\int_{\Omega} \langle \nabla u, \nabla \psi \rangle - \int_{\Omega} \chi_{\{u>0\}} f(\cdot, u) \psi \leq \int_{\Omega} \chi_{\{u>0\}} au^{-\alpha} \psi.$$

which, jointly with (3.15), implies  $\int_{\Omega} f(\cdot, u) \psi \leq \int_{\Omega} \chi_{\{u>0\}} f(\cdot, u) \psi$ ; and then  $\int_{\{u=0\}} f(\cdot, u) \psi \leq 0$ . Since  $f(\cdot, 0) > 0$  *a.e.* in  $\Omega$ , it follows that  $\chi_{\{u=0\}} f(\cdot, 0) \psi = 0$  *a.e.* in  $\Omega$  for any nonnegative  $\psi \in C_c^\infty(\Omega)$ . Thus, by Remark 2.9,  $\chi_{\{u=0\}} f(\cdot, 0) = 0$  *a.e.* in  $\Omega$ , and then  $|\{u=0\}| = 0$ .

**Remark 3.1.** If  $f \leq 0$ , condition  $H4)$  is automatically fulfilled; indeed, in this case  $H4')$  holds.

**Proof of Theorem 1.3.** Since for  $\lambda \geq 0$ ,  $\lambda f$  satisfies the same assumptions fulfilled by  $f$ , the first assertion of the theorem follows from Theorem 1.2. If, in addition,  $\lim_{s \rightarrow \infty} \frac{f(x,s)}{s} = 0$  uniformly on  $\Omega$ , then  $-f$  satisfies  $H1)-H3)$ ,  $H5)$ , and  $H4'')$ ; and so, for  $\lambda < 0$ , writing  $\lambda f =$

$-\lambda(-f)$ , the second assertion of the theorem follows from the first one. Finally, if  $\lambda \geq 0$  and  $f(\cdot, 0) > 0$  *a.e.* in  $\Omega$ , the statement  $u_\lambda > 0$  *a.e.* in  $\Omega$  follows from Theorem 1.2, again.

**Proof of Theorem 1.4.** Assume that *H6)* holds. Let  $m$  be an integer such that  $m \geq \max\{2, 1 + \alpha\}$ , and let  $k \in \mathbb{R}$  satisfy  $k > \max\left\{0, \operatorname{ess\,sup}_{\Omega \times (0, \infty)} \frac{f(x, s)}{s}\right\}$ . Thus  $\frac{\lambda f(\cdot, s)}{s} \leq \lambda k$  and, since  $\lambda_1(\lambda k \mathbf{1}) = \frac{\lambda_1(\mathbf{1})}{\lambda k} > m$  for  $0 < \lambda < \frac{\lambda_1(\mathbf{1})}{mk}$ , Theorem 1.2 gives, for such  $\lambda$ , the sought weak solution of (1.2). Note also that, if  $\lambda = 0$ , (1.2) reduces to  $-\Delta u = \chi_{\{u > 0\}} a u^{-\alpha}$  in  $\Omega$ ,  $u \geq 0$  in  $\Omega$ ,  $u = 0$  on  $\partial\Omega$ ; and this problem has a positive weak solution  $u \in H_0^1(\Omega) \cap L^\infty(\Omega)$  (see [10]). Then the lemma holds with  $\lambda^* := \frac{\lambda_1(\mathbf{1})}{mk}$ .

Assume now that *H7)* holds. Let  $V := \{k \in (0, \infty) : f(\cdot, k) \in L^\infty(\Omega)\}$ . Since  $f \in L^\infty(\Omega \times (0, \sigma))$  for any  $\sigma > 0$ , we have that  $\mathbb{R} \setminus V$  has zero Lebesgue's measure. For  $k \in V$  to be chosen latter, let  $f_k : \Omega \times [0, \infty)$  be defined by  $f_k(\cdot, s) := f(\cdot, s)$  if  $0 \leq s \leq k$ , and by  $f_k(\cdot, s) := f(\cdot, k)$  otherwise. Let  $\lambda > 0$ ; clearly  $\lambda f_k$  satisfies the conditions *H2)*, *H3)* and *H5)*. Since  $f(\cdot, k) \in L^\infty(\Omega)$ , we have  $\overline{\lim}_{s \rightarrow \infty} \frac{\lambda f_k(\cdot, s)}{s} = 0$  uniformly on  $\Omega$ , and so  $\lambda f_k$  satisfies also *H4''*). Let  $u \in H_0^1(\Omega) \cap L^\infty(\Omega)$  be the solution to the problem:

$$\begin{cases} -\Delta u = \chi_{\{u > 0\}} a u^{-\alpha} + \lambda f_k(x, u) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \\ u \geq 0 & \text{in } \Omega, \quad u \not\equiv 0 & \text{in } \Omega, \end{cases}$$

provided by Theorem 1.2. Thus  $u$  satisfies  $\chi_{\{u > 0\}} a u^{-\alpha} \not\equiv 0$ . Let  $m$  be an integer such that  $m \geq \max\{2, 1 + \alpha\}$ , let  $\lambda_1$  be the first eigenvalue for  $-\Delta$  on  $\Omega$  with homogeneous Dirichlet condition, let  $\eta \in (0, 1)$ , and let  $\varepsilon := \eta \frac{\lambda_1}{\lambda m}$ . Take  $\Lambda \in (0, \infty)$ , and define

$$s_0 := \max\left\{\frac{m\Lambda \|f(\cdot, k)\|_\infty}{\eta \lambda_1}, k\right\}.$$

Thus, for  $s > s_0$  and  $0 \leq \lambda < \Lambda$ ,

$$\frac{\lambda |f_k(\cdot, s)|}{s} \leq \frac{\Lambda |f(\cdot, k)|}{s_0} \leq \frac{\eta \lambda_1}{m} < \frac{\lambda_1}{m} \quad \textit{a.e. in } \Omega.$$

From the proof of Theorem 1.2 we know that, for  $M$  positive and large enough,  $u$  is a minimizer for  $J_{\lambda f_k}$  on  $D_M^\alpha$ ; and so, by Lemma 2.6, we have, in the weak sense stated there (i.e., for test

functions in  $H_0^1(\Omega) \cap L^\infty(\Omega)$ ,

$$\begin{aligned}
(3.18) \quad -\Delta(u^m) &\leq ma(u^m)^{\frac{m-1-\alpha}{m}} + mu^{m-1}\lambda f_k(\cdot, u) \\
&\leq ma(u^m)^{\frac{m-1-\alpha}{m}} + \lambda mAs_0^{m-1} + mu^m \chi_{u>s_0} \frac{\lambda |f_k(\cdot, u)|}{u} \\
&\leq mau^{m-1-\alpha} + \lambda mAs_0^{m-1} + \eta \lambda_1 u^m
\end{aligned}$$

for  $0 \leq \lambda < \Lambda$  and with  $A := 1 + \|f_1\|_{L^\infty(\Omega \times (0, s_0))} < \infty$ . As  $ma(u^m)^{\frac{m-1-\alpha}{m}} + \lambda mAs_0^{m-1} + \eta \lambda_1 u^m \in L^2(\Omega)$ , Remark 2.7 says

$$(3.19) \quad -\Delta(u^m) \leq ma(u^m)^{\frac{m-1-\alpha}{m}} + \lambda mAs_0^{m-1} + \eta \lambda_1 u^m$$

in the usual  $H_0^1(\Omega)$  weak sense (i.e., for arbitrary test functions in  $H_0^1(\Omega)$ ). Now,  $\eta \lambda_1 < \lambda_1$ , and so  $(-\Delta - \eta \lambda_1)^{-1} : L^\infty(\Omega) \rightarrow L^\infty(\Omega)$  is a well defined, bounded, and positive operator; let  $c := \left\| (-\Delta - \eta \lambda_1)^{-1} \right\|_{L^\infty(\Omega), L^\infty(\Omega)}$ . Then, since  $u$  is nonnegative, from (3.19) we get

$$\|u\|_\infty^m \leq c \left( m \|a\|_\infty \|u\|_\infty^{m-1-\alpha} + \lambda mAs_0^{m-1} \right).$$

Then, either  $\|u\|_\infty^m \leq 2cm \|a\|_\infty \|u\|_\infty^{m-1-\alpha}$ , or  $\|u\|_\infty^m \leq 2c\lambda mAs_0^{m-1}$ . Now we choose  $k \in V$  such that  $k > (2cm \|a\|_\infty)^{\frac{1}{1+\alpha}}$ . If  $\|u\|_\infty^m \leq 2cm \|a\|_\infty \|u\|_\infty^{m-1-\alpha}$ , then  $\|u\|_\infty \leq k$ ; therefore  $f_k(\cdot, u) = f(\cdot, u)$ , and so  $u$  is a solution to (1.2). If  $\|u\|_\infty^m \leq 2c\lambda mAs_0^{m-1}$ , then  $\|u\|_\infty \leq \lambda^{\frac{1}{m}} (2cmAs_0^{m-1})^{\frac{1}{m}}$ ; and so, if  $\lambda \in [0, \lambda^*)$  with  $\lambda^* := \min \left\{ \Lambda, \frac{k^m}{2cmAs_0^{m-1}} \right\}$ , we have  $u \leq k$ , which implies that  $u$  solves (1.2). Finally, the conclusion  $u_\lambda > 0$  a.e. in  $\{a > 0\}$  follows from Theorem 1.2 used with  $f_k$  instead of  $f$ .

**Remark 3.2.** Assume *H1*)-*H3*), *H5*) and  $f \leq 0$ . Then (1.2) has a weak solution (in the sense of Definition 1.1) for all  $\lambda \geq 0$ . Indeed, this follows from Theorem 1.2 applied with  $\lambda f$  instead of  $f$ .

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