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# MULTIPLE SOLUTIONS OF KIRCHHOFF EQUATIONS WITH A SMALL PERTURBATIONS

XIAN HU, YONG-YI LAN\*

School of Science, Jimei University, Xiamen 361021, China

**Abstract.** In this paper, we consider the following Kirchhoff equation

$$\begin{cases} -\left(a+b\int_{\Omega}|\nabla u|^{2} dx\right) \Delta u = f(x,u) + tg(x,u), & x \in \Omega, \\ u = 0, & x \in \partial\Omega, \end{cases}$$

with the Dirichlet boundary value. Assuming that the main term f(x, u) is sublinear and odd with respect to u, and the perturbation term is a any continuous function with a small coefficient, we establish the existence and multiplicity of nontrivial solutions for the problem. The approach relies on a combination of variational and minimization methods coupled with the reduction technique.

**Keywords.** Dirichlet boundary condition; Kirchhoff equation; Perturbation problem; Variant symmetric mountain pass lemma.

### 1. Introduction and Main Results

This paper was motivated by some recent results concerning the following Kirchhoff equation

$$\begin{cases} -\left(a+b\int_{\Omega}|\nabla u|^{2} dx\right) \Delta u = f(x,u), & x \in \Omega, \\ u = 0, & x \in \partial\Omega, \end{cases}$$
 (1.1)

where a > 0, b > 0 are real constant, and  $\Omega$  is bounded smooth domain in  $\mathbb{R}^N$ .

Problem (1.1) is nonlocal because of the presence of the term  $\int_{\Omega} |\nabla u|^2 dx \Delta u$ , which implies that the equation in (1.1) is no longer a pointwise identity. Nonlocal effect finds its applications in biological systems. This phenomenon provokes some mathematical difficulties, which make

E-mail address: lanyongyi@jmu.edu.cn (Y.-Y. Lan) Received March 21, 2022; Accepted April 20, 2022.

<sup>\*</sup>Corresponding author.

the study of such a class of problems particularly interesting. Indeed, problem (1.1) is related to the stationary analogue of the Kirchhoff equation

$$u_{tt} - (a+b\int_{\Omega} |\nabla_x u|^2 dx) \Delta_x u = f(x, u), \qquad (1.2)$$

which was proposed by Kirchhoff [11] in 1883. Some early classical studies on Kirchhoff equations can be seen in Pohožaev [17]. After the work of Lions [14], Problem (1.2) was extensively studied; see, e.g. [1, 2, 6] and the references therein.

Recently, more attention has been paid to problem (1.1) by variational methods. When the nonlinearity f is superlinear, Eq. (1.1) has been widely studied; see, e.g., [3, 15, 19, 20, 27]. For the case that the nonlinearity f is asymptotically linear, we refer to [4, 16, 21, 25] and the references therein. Under some weak assumptions on f, the existence of sign-changing solutions with the aid of some new analytical skills were proved in [22, 23].

In [5], the authors considered existence and bifurcation of positive solutions to Kirchhoff-type equations via topological degree argument and variational methods. Using Nehari manifold and variational methods, the authors [12, 24] considered two different kinds of equations with positive solutions.

To our knowledge, there are few results on the existence of multiple solutions to problem (1.1) with a small perturbations. In this paper, we consider the Dirichlet boundary value problem

$$\begin{cases} -\left(a+b\int_{\Omega}|\nabla u|^{2} dx\right) \Delta u = f(x,u) + tg(x,u) & x \in \Omega, \\ u = 0 & x \in \partial\Omega, \end{cases}$$
(1.3)

where a > 0, b > 0 are real constant,  $\Omega$  is bounded smooth domain in  $\mathbb{R}^N$ , and t is a small parameter. In [8], Kajikiya considered the existence of positive solutions for b = 0 by using mountain pass lemma. In [9], Kajikiya obtained multiple solutions via symmetric mountain pass lemma. Under the motivation of Kajikiya [8], Lan [13] considered the existence of positive solutions of problem (1.3). We next show the multiplicity of solutions for problem (1.3) by borrowing the method introduced in [9, 10, 26].

We look for the weak solutions of (1.3), which are the same as the critical points of the functional  $I_t: H_0^1(\Omega) \to \mathbb{R}$  defined by

$$I_t(u) = \frac{a}{2} \int_{\Omega} |\nabla u|^2 dx + \frac{b}{4} \left( \int_{\Omega} |\nabla u|^2 dx \right)^2 - \int_{\Omega} (F(x, u) + tG(x, u)) dx,$$

where  $F(x,u) = \int_0^u f(x,s) ds$  and  $G(x,u) = \int_0^u g(x,s) ds$ . As usual, we equip  $H_0^1(\Omega)$  with the norm  $||u||^2 = \int_{\Omega} |\nabla u|^2 dx$ .  $I_t$  is of  $C^1(H_0^1(\Omega), \mathbb{R})$  and  $u, v \in H_0^1(\Omega)$  with the derivatives given by

$$\langle I'_t(u), v \rangle = (a + b \int_{\Omega} |\nabla u|^2 dx) \int_{\Omega} \nabla u \cdot \nabla v dx - \int_{\Omega} (f(x, u)v + tg(x, u)v) dx.$$

Before stating this theorem, we first recall the notion of genus.

Let E be an infinite dimensional Banach space and A a subset of E. A is said to be symmetric if  $x \in A$  implies  $-x \in A$ . For a closed symmetric set A, which does not contain the origin, we define a genus  $\gamma(A)$  of A by the smallest integer k such that there exists an odd continuous mapping from A to  $\mathbb{R} \setminus \{0\}$ . If there does not exists such a k, we define  $\gamma(A) = \infty$ . Moreover, we set  $\gamma(\emptyset) = 0$ . For each  $k \in \mathbb{N}$ , let  $\Gamma_k = \{A \in \Gamma | \gamma(A) \ge k\}$ . We define  $c_k = \inf_{A \in \Gamma_k} \sup_{u \in A} I_0(u)$ .

**Theorem A** (Variant Symmetric Mountain Pass Lemma). Let E be an infinite dimensional Banach space and  $I_0 \in C^1(E, \mathbb{R})$  an even functional with  $I_0(0) = 0$ . Suppose that  $I_0$  satisfies

- (A1)  $I_0$  is bounded from below and satisfies the (PS) condition;
- (A2) if for any  $k \in \mathbb{N}$ , there exists an  $A_k \in \Gamma_k$  such that  $\sup_{u \in A_k} I_0(u) < 0$ .

Then either (i) or (ii) below holds

- (i) there exists a sequence of critical points  $\{u_k\}$  satisfying  $I_0(u_k) < 0$  for all k and  $||u_k|| \to 0$  as  $k \to \infty$ ;
- (ii) there exists two critical point sequences  $\{u_k\}$  and  $\{v_k\}$  such that  $I_0(u_k)=0$ ,  $u_k\neq 0$ ,  $\lim_{k\to\infty}u_k=0$ ,  $I_0(v_k)<0$ ,  $\lim_{k\to\infty}I_0(v_k)=0$ , and  $\{v_k\}$  converges to a non-zero limit.

We are going to give suitable conditions and use the above Theorem to obtain multiple small solutions of problem (1.3). Let f(x,u) and g(x,u) be Hölder continuous functions defined on  $\overline{\Omega} \times [-\varepsilon, \varepsilon]$  with an  $\varepsilon > 0$  and satisfy that

- (B1) the function f(x, u) is odd on u, and there exist 1 < r < 4 and C > 0 such that  $|f(x, u)| \le C|u|^{r-1}$ ;
- (B2) there exist an  $\varepsilon > 0$  such that

$$uf(x,u) - 2F(x,u) < 0$$
 when  $0 < |u| < \varepsilon$  and  $x \in \overline{\Omega}$ ,  
 $f(x,u) = g(x,u) = F(x,u) = 0$  when  $|u| \ge \varepsilon$  and  $x \in \overline{\Omega}$ ,  
 $F(x,u) > 0$  when  $0 < |u| < \varepsilon$  and  $x \in \overline{\Omega}$ ;

(B3) there exist an  $x_0 \in \overline{\Omega}$  and a constant  $r_0 > 0$  such that

$$\liminf_{u\to 0} \left( \inf_{x\in B_{r_0}(x_0)} u^{-2} F(x,u) \right) > -\infty$$

and

$$\limsup_{u\to 0} \left( \inf_{x\in B_{r_0}(x_0)} u^{-2} F(x,u) \right) = +\infty,$$

where  $B_{r_0}(x_0)$  is the ball in  $\overline{\Omega}$  centered at  $x_0$  with radius  $r_0$ .

The main results of this paper are as follows.

**Theorem 1.1.** Suppose that (B1)-(B3) hold. If t = 0, then (1.3) has a sequence of solutions whose  $C^2(\overline{\Omega})$ -norms converges to zero.

**Theorem 1.2.** Suppose that (B1)-(B3) hold for any  $k \in \mathbb{N}$  and any  $\varepsilon > 0$ . Then there exists an  $\delta(k,\varepsilon) > 0$  such that if  $|t| \le \delta(k,\varepsilon)$ , then (1.3) has at least k distinct solutions whose  $C^2(\overline{\Omega})$ -norms are less than  $\varepsilon$ .

In the following discussions, we denote various positive constants as C or  $C_i$  (i = 0, 1, 2, 3, ...) for convenience.

#### 2. PROOFS OF MAIN RESULTS

**Proof of the Theorem 1.1**. We prove the Theorem 1 by Theorem A. It is easy to check that  $I_0 \in C^1(H_0^1(\Omega), \mathbb{R})$  is even, and  $I_0(0) = 0$ . We next prove that  $I_0$  satisfies (A1) and (A2) of Theorem A. We divide this proof into two steps.

**Step 1.** From (B1), for  $u \in H_0^1(\Omega)$ ,

$$I_0(u) = \frac{a}{2} ||u||^2 + \frac{b}{4} ||u||^4 - \int_{\Omega} F(x, u) dx$$
$$\geq \frac{a}{2} ||u||^2 + \frac{b}{4} ||u||^4 - C_1 ||u||^r$$

and then  $I_0$  is coercive, which together with  $I_0 \in C^1$  implies that  $I_0$  is bounded below. Now let  $\{u_n\}$  be a (PS)-sequence, that is,

$$|I_0(u_n)| \le C_2$$
 and  $I'_0(u_n) \to 0$  as  $n \to \infty$ . (2.1)

Note that  $I_0$  is coercive. This together with (2.1) implies that  $\{u_n\}$  is bounded. By usual arguments, we can assume that, up to a subsequence (denoted also by  $\{u_n\}$ ), there exists  $u \in H_0^1(\Omega)$  such that

$$u_n \rightharpoonup u \text{ in } H_0^1(\Omega),$$
  
 $u_n \to u \text{ in } L^s(\Omega), \text{ where } 2 \le s < 2^*,$   
 $u_n(x) \to u(x) \text{ a.e. } x \in \Omega.$  (2.2)

By (B1) and the Hölder inequality, we have

$$\left| \int_{\Omega} (f(x, u_n) - f(x, u))(u_n - u) \, \mathrm{d}x \right| \leq \int_{\Omega} \left| (f(x, u_n) - f(x, u))(u_n - u) \right| \, \mathrm{d}x$$

$$\leq \int_{\Omega} \left| C(|u_n|^{r-1} + |u|^{r-1})(u_n - u) \right| \, \mathrm{d}x$$

$$\leq C[\|u_n\|_{L^r}^{r-1} + \|u\|_{L^r}^{r-1}] \|u_n - u\|_{L^r}$$

$$\leq C_3 \|u_n - u\|_{L^r} \to 0.$$
(2.3)

As  $I_0'(u_n) \to 0$  and  $u_n \to u$  in  $L^s(\Omega)$ , we have

$$I'_0(u_n)(u_n-u)\to 0$$
, and  $I'_0(u)(u_n-u)\to 0$ .

Then, as  $n \to \infty$ ,

$$o(1) = \langle I'_{0}(u_{n}) - I'_{0}(u), u_{n} - u \rangle$$

$$= a ||u_{n} - u||^{2} + b \int_{\Omega} |\nabla u_{n}|^{2} dx \int_{\Omega} \nabla u_{n} \cdot \nabla (u_{n} - u) dx - b \int_{\Omega} |\nabla u|^{2} dx \int_{\Omega} \nabla u \cdot \nabla (u_{n} - u) dx$$

$$- \int_{\Omega} (f(x, u_{n}) - f(x, u)) (u_{n} - u) dx$$

$$= a ||u_{n} - u||^{2} + b \int_{\Omega} |\nabla u_{n}|^{2} dx \int_{\Omega} |\nabla (u_{n} - u)|^{2} dx - \int_{\Omega} (f(x, u_{n}) - f(x, u)) (u_{n} - u) dx$$

$$+ b \left( \int_{\Omega} |\nabla u_{n}|^{2} dx - \int_{\Omega} |\nabla u|^{2} dx \right) \int_{\Omega} \nabla u \cdot \nabla (u_{n} - u) dx.$$

$$(2.4)$$

For any  $v \in L^2(\Omega)$ , by (2.2) and Hölder inequality, we obtain

$$\langle v, u_n - u \rangle = \int_{\Omega} \nabla v \cdot \nabla (u_n - u) \, \mathrm{d}x \le \|\nabla v\|_{L^2} \|\nabla (u_n - u)\|_{L^2} \to 0,$$

which implies that  $\nabla u_n \to \nabla u$  in  $L^2(\Omega)$ . Then it follows that

$$b\left(\int_{\Omega} |\nabla u_n|^2 dx - \int_{\Omega} |\nabla u|^2 dx\right) \int_{\Omega} \nabla u \cdot \nabla (u_n - u) dx \to 0 \text{ as } n \to \infty.$$
 (2.5)

Combining (2.2)-(2.5), we obtain

$$0 \le a \|u_n - u\|^2 = -b \int_{\Omega} |\nabla u_n|^2 dx \int_{\Omega} |\nabla (u_n - u)|^2 dx + o(1) \le o(1),$$

which implies that  $u_n \to u$  in  $H_0^1(\Omega)$ . Therefore  $I_0$  satisfies (PS) condition. Consequently,  $I_0$  satisfies the condition (A1) of Theorem A.

**Step 2.** We follow the idea of the geometric construction introduce in [10]. By coordinate translation, we can assume  $x_0 = 0$  in (B3). Let  $\mathscr{C}$  denote the cube

$$\mathscr{C} := \{x = (x_1, x_2, ..., x_N) | -r_0/2 \le x_i \le r_0/2, i = 1, 2, ..., N\},\$$

where  $r_0$  is given in (B3). Evidently,  $\mathscr{C} \subseteq B_{r_0}$ . By (B3), there exist constants  $\delta, \rho > 0$  and two sequences of positive numbers  $\delta_n \to 0, M_n \to \infty$  as  $n \to \infty$  such that

$$F(x,u) \ge -\rho u^2, \ \forall x \in \mathscr{C} \text{ and } |u| \le \delta$$
 (2.6)

and

$$F(x, \pm \delta_n)/\delta_n^2 \ge M_n, \ \forall x \in \mathscr{C} \text{ and } n \in \mathbb{N}.$$
 (2.7)

For any fixed  $k \in \mathbb{N}$ , let  $m \in \mathbb{N}$  be the smallest positive integer satisfying  $m^N \ge k$ . We divide the cube  $\mathscr{C}$  equally into  $m^N$  small cubes by planes parallel to each face of  $\mathscr{C}$  and denote them by  $\mathscr{C}_i$  with  $1 \le i \le m^N$ . Then the edge of each  $\mathscr{C}_i$  has the length of  $l := r_0/m$ . For each  $1 \le i \le k$ , we make a cube  $\mathscr{D}_i$  in  $\mathscr{C}_i$  such that  $\mathscr{D}_i$  has the same center as that of  $\mathscr{C}_i$ , the faces of  $\mathscr{D}_i$  and  $\mathscr{C}_i$  are parallel, and the edge of  $\mathscr{D}_i$  has the length of l/2. Choose a function  $\psi \in C_0^{\infty}(\mathbb{R}, \mathbb{R})$  such that

$$\begin{cases} \psi(t) \equiv 1 & \text{for } t \in [-l/4, l/4], \\ \psi(t) \equiv 0 & \text{for } t \in \mathbb{R} \setminus [-l/2, l/2], \\ 0 \le \psi(t) \le 1 & \text{for } t \in \mathbb{R}. \end{cases}$$

Define

$$\varphi(x) = \psi(x_1)\psi(x_2)\cdots\psi(x_N), \quad \forall x = (x_1,x_2,...,x_N) \in \mathbb{R}^N.$$

For each  $1 \le i \le k$ , let  $y_i \in \mathbb{R}^N$  by the center of both  $\mathscr{C}_i$  and  $\mathscr{D}_i$ , and define  $\varphi_i(x) = \varphi_i(x - y_i)$ ,  $\forall x \in \mathbb{R}^N$ . Then it is easy to see that

$$supp \varphi_i \subset \mathscr{C}_i,$$
 (2.8)

and

$$\varphi_i(x) = 1, \ \forall x \in \mathcal{D}_i, \ 0 \le \varphi_i(x) \le 1, \ \forall x \in \mathbb{R}^N,$$
(2.9)

for all  $1 \le i \le k$ . Set

$$\mathscr{V}_k := \left\{ (s_1, s_2, ..., s_k) \in \mathbb{R}^k | \max_{1 \le i \le k} |s_i| = 1 \right\},$$

and

$$\mathscr{W}_k := \left\{ \sum_{i=1}^k s_i \varphi_i | (s_1, s_2, ..., s_k) \in \mathscr{V}_k \right\}.$$

Evidently,  $\mathscr{V}_k$  is homeomorphic to the unit sphere in  $\mathbb{R}^k$  by an odd mapping. Thus  $\gamma(\mathscr{V}_k) = k$ . If we define the mapping  $\eta : \mathscr{V}_k \to \mathscr{W}_k$  by

$$\eta(s_1, s_2, ..., s_k) = \sum_{i=1}^k s_i \varphi_i, \ \forall (s_1, s_2, ..., s_k) \in \mathcal{V}_k,$$

then  $\eta$  is odd and homeomorphic. Therefore  $\gamma(\mathcal{W}_k) = \gamma(\mathcal{V}_k) = k$ . Moreover, it is evident that  $\mathcal{W}_k$  is compact and hence there is a constant  $C_k > 0$  such that

$$||u|| \le C_k, \ \forall u \in \mathcal{W}_k. \tag{2.10}$$

For each  $\delta_n \in (0, \delta)$  given by (2.6) and  $u = \sum_{i=1}^k s_i \varphi_i \in \mathcal{W}_k$ , due to (2.8) and (2.9), there holds

$$I_{0}(\delta_{n}u) = \frac{a}{2} \|\delta_{n}u\|^{2} + \frac{b}{4} \left( \int_{\Omega} |\nabla(\delta_{n}u)|^{2} dx \right)^{2} - \int_{\Omega} F(x, \delta_{n} \sum_{i=1}^{k} s_{i} \varphi_{i}) dx$$

$$\leq \frac{a\delta_{n}^{2}}{2} \|u\|^{2} + \frac{b\delta_{n}^{4}}{4} \|u\|^{4} - \sum_{i=1}^{k} \int_{\mathscr{C}_{i}} F(x, \delta_{n} s_{i} \varphi_{i}) dx.$$
(2.11)

By the definition of  $\mathcal{V}_k$ , there exists some integer  $1 \le i_u \le k$  such that  $|s_{i_u}| = 1$ . Then

$$\sum_{i=1}^{k} \int_{\mathscr{C}_{i}} F(x, \delta_{n} s_{i} \varphi_{i}) dx = \int_{\mathscr{D}_{i}} F(x, \delta_{n} s_{i_{u}} \varphi_{i_{u}}) dx + \int_{\mathscr{C}_{i} \setminus \mathscr{D}_{i}} F(x, \delta_{n} s_{i_{u}} \varphi_{i_{u}}) dx + \sum_{i \neq i_{u}} \int_{\mathscr{C}_{i}} F(x, \delta_{n} s_{i} \varphi_{i}) dx.$$

$$(2.12)$$

By (2.6) and (2.9), we obtain

$$\int_{\mathscr{C}_i \setminus \mathscr{D}_i} F(x, \delta_n s_{i_u} \varphi_{i_u}) \, \mathrm{d}x + \sum_{i \neq i_u} \int_{\mathscr{C}_i} F(x, \delta_n s_i \varphi_i) \, \mathrm{d}x \ge -\rho r_0^N \delta_n^2. \tag{2.13}$$

Here we use the fact that the volume of cube  $\mathscr{C}$  in  $\mathbb{R}^N$  is  $r_0^N$ . Combining (2.7) and (2.10)-(2.13), we have

$$I_{0}(\delta_{n}u) \leq \frac{aC_{k}^{2}\delta_{n}^{2}}{2} + \frac{bC_{k}^{4}\delta_{n}^{4}}{4} + \rho r_{0}^{N}\delta_{n}^{2} - \int_{\mathscr{D}_{i}} F(x, \delta_{n}s_{i_{u}}\varphi_{i_{u}}) dx$$

$$\leq \delta_{n}^{2} \left(\frac{aC_{k}^{2}}{2} + \frac{bC_{k}^{4}\delta_{n}^{2}}{4} + \rho r_{0}^{N} - \frac{l^{N}M_{n}}{2^{N}}\right).$$
(2.14)

Since  $M_n \to \infty$  as  $n \to \infty$ , we can choose  $n_0 \in \mathbb{N}$  large enough such that, for  $n \ge n_0$ , the right-hand side of (2.14) is negative. We construct an  $A_k \in \Gamma_k$  satisfying (A2). Define  $A_k := \{\delta_{n_0} u | u \in \mathcal{W}_k\}$ . Then  $\gamma(A_k) = \gamma(\mathcal{W}_k) = k$  and  $\sup_{u \in A_k} I_0(u) < 0$ . Therefore  $I_0$  satisfies the condition (A2) of Theorem A. By Theorem A, we obtain a sequence of nontrivial critical points  $\{u_k\}$  of  $I_0$  satisfying  $I_0(u_k) \le 0$  for all  $k \in \mathbb{N}$  and  $u_k \to 0$  in  $H_0^1(\Omega)$  as  $k \to \infty$ . The Ascoli-Arzelà theorem guarantee that  $u_k$  converges to zero in  $C(\overline{\Omega})$ , and hence in  $C^2(\overline{\Omega})$  by the elliptic regularity theorem (see [7]). The proof is complete.

**Lemma 2.1.** For any  $\varepsilon > 0$ , there exists a  $\delta > 0$  such that  $|t| \le \delta, I'_t(u) = 0$  and  $|I_t(u)| \le \delta$ . Then  $||u||_{C^2(\overline{\Omega})} \le \varepsilon$ .

*Proof.* For any  $k \in \mathbb{N}$ , there exists a sequence  $\{t_k\} \to 0$  such that  $u_k$  satisfies  $I'_{t_k}(u_k) = 0$ ,  $I_{t_k}(u_k) \to 0$ . Since f(x,u) and g(x,u) are bounded on  $\overline{\Omega} \times \mathbb{R}$ , the elliptic regularity theorem gives a constant  $C_4$  such that  $||u_k|| \le C_4$  for  $k \in \mathbb{N}$ . Let  $\{v_k\}$  be any subsequence of  $\{u_k\}$ . Then AscoliArzelà theorem yields a subsequence  $\{w_k\}$  of  $\{v_k\}$ , which converges to a certain limit w in the

 $C^1(\overline{\Omega})$ -space. Consequently, we have

$$\begin{cases} -\left(a+b\int_{\Omega}|\nabla w_{k}|^{2} dx\right) \Delta w_{k} = f(x, w_{k}) & x \in \Omega, \\ w_{k} = 0 & x \in \partial\Omega, \end{cases}$$
 (2.15)

and

$$I_0(w_k) = \frac{a}{2} \int_{\Omega} |\nabla w_k|^2 dx + \frac{b}{4} (\int_{\Omega} |\nabla w_k|^2 dx)^2 - \int_{\Omega} F(x, w_k) dx = 0.$$
 (2.16)

We multiple the first equation of (2.15) by  $w_k$  and integrate it over  $\Omega$  to see

$$(a+b\int_{\Omega} |\nabla w_k|^2 dx) \int_{\Omega} |\nabla w_k|^2 dx = \int_{\Omega} w_k f(x, w_k) dx.$$
 (2.17)

Then we combine (2.16) with (2.17) to obtain

$$I_0(w_k) = \int_{\Omega} (\frac{1}{2} w_k f(x, w_k) - F(x, w_k)) dx - \frac{b}{4} (\int_{\Omega} |\nabla w_k|^2 dx)^2.$$

Letting  $k \to \infty$ , we have

$$\lim_{k \to \infty} I_0(w_k) = I_0(w) = \int_{\Omega} (\frac{1}{2} w f(x, w) - F(x, w)) dx - \frac{b}{4} (\int_{\Omega} |\nabla w|^2 dx)^2 = 0.$$

It follows that

$$\int_{\Omega} (\frac{1}{2} w f(x, w) - F(x, w)) dx = \frac{b}{4} (\int_{\Omega} |\nabla w|^2 dx)^2.$$

In view of  $\frac{b}{4}(\int_{\Omega} |\nabla w|^2 dx)^2 \ge 0$  and  $\frac{1}{2}wf(x,w) - F(x,w) < 0$  by (C3), we can obtain  $w \equiv 0$ . Therefore,  $u_k$  convergence to zero in  $C^1(\overline{\Omega})$ . Moreover, the elliptic regularity theorem guarantees that the convergence is valid in the  $C^2(\overline{\Omega})$ -sense. The proof is complete.

**Proof of the Theorem 1.2.** There exists constants  $t_{k+1}$  such that  $0 < t_{k+1} \le 1$  and a functional  $\psi \in C([0,1],\mathbb{R})$  such that  $\psi(0) = 0, c_{k+1} < -\psi(t)$  for  $t \in [0,t_{k+1}]$ . Since G(x,u) is bounded on  $\overline{\Omega} \times \mathbb{R}$ , we have

$$|I_t(u) - I_0(u)| = |\int_{\Omega} tG(x, u) \, \mathrm{d}x| \le |t| \int_{\Omega} |G(x, u)| \, \mathrm{d}x \le C_4 |t| = \psi(t), \tag{2.18}$$

where  $C_4 > 0$  is a constant and independent of u and t. Determine the constant r > 0 small enough that  $c_k + r < c_{k+1}$ . We choose  $t_{k+1} \in (0,1]$  so small that

$$c_k + r + 2\psi(t) < c_{k+1}, \ c_{k+1} + \psi(t) < 0 \ \text{for } t \in [0, t_{k+1}].$$

Fix  $t \in [0, t_{k+1}]$  arbitrarily and define

$$d_{k+1}(t) = \inf_{A \in \Gamma_{k+1}} \sup_{u \in A} I_t(u).$$
 (2.19)

Combining (2.18) with (2.19), we have

$$d_{k+1}(t) \le \inf_{A \in \Gamma_{k+1}} \sup_{u \in A} (I_0(u) + \psi(t))$$
  
=  $\inf_{A \in \Gamma_{k+1}} \sup_{u \in A} I_0(u) + \psi(t)$   
=  $c_{k+1} + \psi(t)$ .

and

$$\begin{aligned} d_{k+1}(t) &\geq \inf_{A \in \Gamma_{k+1}} \sup_{u \in A} (I_0(u) - \psi(t)) \\ &= \inf_{A \in \Gamma_{k+1}} \sup_{u \in A} I_0(u) - \psi(t) \\ &= c_{k+1} - \psi(t). \end{aligned}$$

Therefore, we obtain  $c_{k+1} - \psi(t) \le d_{k+1}(t) \le c_{k+1} + \psi(t)$ .

Next, we show that  $d_{k+1}(t)$  is a critical value of  $I_t(\cdot)$ . Let us argue indirectly. If  $d_{k+1}(t)$  is a regular value of  $I_t(\cdot)$ , w use deformation lemma with  $c = d_{k+1}(t), c - \bar{\varepsilon} = c_k + r + \psi(t)$ . For the lemma, the readers can refer to [18, p.82, Theorem A.4]. We take an  $\varepsilon > 0$  and an odd mapping  $\eta \in C(E, E)$  satisfying the conditions below.

- (H1) If  $d_{k+1}(t)$  is a regular value of  $I_t$  and  $I_t(u) \le d_{k+1}(t) + \varepsilon$ , then  $I_t(\eta(u)) \le d_{k+1}(t) \varepsilon$ .
- (H2) If  $I_t(u) \le c_k + r + \psi(t)$ , then  $\eta(u) = u$ .

By the definition of  $d_{k+1}$ , there exist an  $A_1 \in \Gamma_{k+1}$  such that  $\sup_{u \in A_1} I_t(u) < d_{k+1}(t) + \varepsilon$ . By (H1), we have

$$\sup_{u \in A_1} I_t(\eta(u)) \le d_{k+1}(t) - \varepsilon. \tag{2.20}$$

By the definition of  $c_k$ , we have  $\sup_{u \in A_1} I_0(u) < c_k + r$ , and

$$\sup_{u \in A_1} I_t(u) \le \sup_{u \in A_1} I_0(u) + \psi(t) < c_k + r + \psi(t).$$

The inequality above with (H2) implies that  $\eta(u) = u \in A_1$ . However, (2.20) contradicts the definition of  $d_{k+1}(t)$ . Consequently,  $d_{k+1}(t)$  is a critical value. This shows that  $I_t(\cdot)$  has a critical value in the interval  $[c_{k+1} - \psi(t), c_{k+1} + \psi(t)]$ . For any  $k \in \mathbb{N}$ ,  $\delta > 0$ , we choose increasing p(i) > 0 with  $1 \le i \le k$  such that  $-\delta < c_{p(1)}$  and  $c_{p(i)} < c_{p(i+1)}$  for  $1 \le i \le k$ . There exist  $\varepsilon > 0$  small enough such that  $d_{p(i)}(t)$  with  $1 \le i \le k$  are defined for  $t \in [0, \varepsilon]$  and

$$-\delta < c_{p(1)} - \psi(t), \quad c_{p(i)} + \psi(t) < c_{p(i+1)} - \psi(t) \text{ on } [0, \varepsilon].$$

This means that  $d_{p(i)}(t) < d_{p(i+1)}(t)$ . Then, for  $t \in [0, \varepsilon]$ ,  $I_t(\cdot)$  has at least k critical values

$$-\delta < d_{p(1)}(t) < d_{p(2)}(t) < \dots < d_{p(k)}(t) < 0.$$

In view of Lemma 2.1 it is enough to obtain that  $I_t(\cdot)$  has at least k solutions whose  $C^2(\overline{\Omega})$ -norms are less than  $\varepsilon$ . Therefore the proof is complete.

**Remark 2.2.** Here we give a weaker condition (C1)-(C3): Let f(x.u) and g(x,u) be Hölder continuous functions defined on  $\overline{\Omega} \times \mathbb{R}$  and satisfy the conditions below

- (B1) the function f(x,u) is odd in u, and there exist 1 < r < 4, C > 0 such that  $|f(x,u)| \le C|u|^{r-1}$ ;
  - (B2) uf(x,u) 2F(x,u) < 0, where F(x,u) is defined by

$$F(x,u) := \int_0^u f(x,t) \, \mathrm{d}t;$$

(B3) there exist an  $x_0 \in \overline{\Omega}$  and a constant  $r_0 > 0$  such that

$$\liminf_{u\to 0} \left( \inf_{x\in B_{r_0}(x_0)} u^{-2} F(x,u) \right) > -\infty,$$

and

$$\limsup_{u\to 0} \left( \inf_{x\in B_{r_0}(x_0)} u^{-2} F(x,u) \right) = +\infty.$$

Next, we explain that the weaker condition (C1)-(C3) gives the condition (A1)-(A3). Without loss of generality, we choose a function  $\phi \in C_0^{\infty}(\mathbb{R},\mathbb{R})$  such that

$$0 \le \phi(u) \le 1 \text{ for } u \in \mathbb{R},$$
  
 $\phi(u) = 1 \text{ for } |u| \le \frac{\varepsilon}{2},$   
 $\phi(u) > 0 \text{ for } |u| < \varepsilon,$   
 $\phi(u) = 0 \text{ for } |u| > \varepsilon.$ 

If  $\phi(u)$  is even in  $\mathbb{R}$  and strictly decreasing in  $(\varepsilon/2, \varepsilon)$ , then we have  $\phi'(u) < 0$ . We define  $\widetilde{f}(x, u), \widetilde{f}(x, u), \widetilde{g}(x, u)$  by

$$\widetilde{f}(x,u) = \phi(u)f(x,u),$$

$$\widetilde{g}(x,u) = \phi(u)g(x,u),$$

$$\widetilde{F}(x,u) = \int_0^u \widetilde{f}(x,u) \, \mathrm{d}s = \phi(u)F(x,u).$$

It is clear that  $\widetilde{f}$  satisfies (C1) and (C3). We verify (C2). By the definition,  $\widetilde{f}(x,u)$ ,  $\widetilde{g}(x,u)$ ,  $\widetilde{F}(x,u)$  vanish when  $|u| \ge \varepsilon$  and  $x \in \overline{\Omega}$ . Moreover,  $\widetilde{F}(x,u) > 0$  when  $0 < |u| < \varepsilon$  and  $x \in \overline{\Omega}$ . Observe the relation,

$$\frac{\partial}{\partial u}(u^{-2}\widetilde{F}(x,u)) = \frac{u^2\widetilde{f}(x,u) - 2u\widetilde{F}(x,u)}{u^4} = u^{-3}(u\widetilde{f}(x,u) - 2\widetilde{F}(x,u)).$$

Using the definition of  $\widetilde{F}(x,u)$  with (B2), we obtain

$$\frac{\partial}{\partial u}(u^{-2}\widetilde{F}(x,u)) = \frac{\partial}{\partial u}(u^{-2}\phi(u)F(x,u))$$

$$= \phi'(u)u^{-2}F(x,u) + \phi(u)\frac{\partial}{\partial u}(u^{-2}F(x,u))$$

$$= \phi'(u)u^{-2}F(x,u) + \phi(u)u^{-3}(uf(x,u) - 2F(x,u)) < 0$$

provided that  $0 < |u| < \varepsilon$  and  $x \in \overline{\Omega}$ . We see that  $u\widetilde{f}(x,u) - 2\widetilde{F}(x,u) < 0$ . Therefore  $\widetilde{f}(x,u)$  satisfies (C2). It is enough to prove Theorem 1.1 and Theorem 1.2 with f and g replaced by  $\widetilde{f}$  and  $\widetilde{g}$  under (C1)-(C3), respectively because  $\widetilde{f}(x,u) = f(x,u)$  and  $\widetilde{g}(x,u) = g(x,u)$  for |u| sufficiently small.

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